Edgar Filing: ROCK DOUGLAS L - Form 4

ROCK DOUGLA Form 4 April 03, 2018	AS L									
FORM 4	UNITED	STATES	SECUI	RITIES A	AND EX	CHANGE	COMMISSIO		PPROVAL	
Check this box if no longer			Wa	Number: Expires:	3235-028 January 3 200	31,				
subject to Section 16. Form 4 or	AENT OF	CHAP	SECUI	Estimated burden hou	Estimated average burden hours per response 0.5					
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).										
(Print or Type Respon	nses)									
1. Name and Address of Reporting Person <u>*</u> ROCK DOUGLAS L			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		TRINITY INDUSTRIES INC [NYSE/TRN]				(Check all applicable)				
(Last) (First) (Middle) 2525 N. STEMMONS FREEWAY			3. Date of Earliest Transaction (Month/Day/Year) 03/31/2018				Officer (give titleOther (specify below) below)			
(4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
DALLAS, TX 75	5207						Form filed by Person	More than One R	eporting	
(City) (State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	nnsaction Date th/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if TransactionAcquired (A) or Code Disposed of (D) y/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or		Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
	<i>(</i> 1'	C 1 1	c	Code V		(D) Price	· 1· 4			
Reminder: Report on	a separate line	e lor each cla	ass of sect	urities bene	Perso inforn requir	ns who res nation cont red to respo	or indirectly. spond to the colle cained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

number.

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivati Securitic Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ies ed ed				(Instr. 5)
			Code V	' (A) (D	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Trinity Phantom Stock Units	\$ 0	03/31/2018	А	94	<u>(1)</u>	<u>(1)</u>	Common Stock	94	\$ 32.63

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ROCK DOUGLAS L 2525 N. STEMMONS FREEWAY DALLAS, TX 75207	Х						
Signatures							
Mary E. Henderson For: Douglas L. Rock	. 04/03/2018						
**Signature of Reporting Person		Date					
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Trinity Phantom Stock Units were accrued under the Trinity Industries, Inc. Deferred Plan for Directors Fees. Stock units are

(1) converted at "1 - for - 1" (a rate of one stock unit per one share of Trinity common stock). Settlement of the account will be in cash after the reporting person's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.