#### TRINITY INDUSTRIES INC

Form 4 May 08, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RICE S THEIS

2. Issuer Name and Ticker or Trading

Symbol

TRINITY INDUSTRIES INC [NYSE/TRN]

(Last) (First) (Middle)

2525 STEMMONS FREEWAY

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

05/06/2013

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

A

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify below)

below) Senior Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

DALLAS, TX 75207

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Amount

(A)

(D)

4. Securities Acquired

Securities Beneficially Owned Following Reported

5. Amount of

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4) Price

2,246

Common Stock

Common

Stock

05/06/2013

15,535 A \$0 101,301

D

Ι

401k Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.                    | 5.                      | 6. Date Exer                   |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|-------------------------|--------------------------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |                         | Expiration D                   | ate Amou   |          | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of                      | (Month/Day/                    | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |                         | e                              |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities              |                                |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                       | Acquired (A) or         |                                |            |          |          |             | Follo  |
|             |             |                     |                    |                       |                         |                                |            |          |          |             | Repo   |
|             |             |                     |                    |                       | Disposed                |                                |            |          |          |             | Trans  |
|             |             |                     |                    |                       | of (D)                  |                                |            |          |          |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3,<br>4, and 5) |                                |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                |            |          |          |             |        |
|             |             |                     |                    |                       |                         |                                |            |          | Amount   |             |        |
|             |             |                     |                    |                       |                         | Date Expir<br>Exercisable Date | Expiration |          | or       |             |        |
|             |             |                     |                    |                       |                         |                                | *          | Title    | Number   |             |        |
|             |             |                     |                    |                       |                         |                                | Date       |          | of       |             |        |
|             |             |                     |                    | Code V                | (A) (D)                 |                                |            |          | Shares   |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

RICE S THEIS

2525 STEMMONS FREEWAY Senior Vice President

DALLAS, TX 75207

# **Signatures**

Mary E. Henderson For: S. 05/08/2013 Theis Rice

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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