Edgar Filing: TRINITY INDUSTRIES INC - Form 4

TRINITY INDUSTRIES INC

Form 4 May 02, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Name and Address of Reporting Person* Ivy Jim S					me and Tic ustries, Inc		P	. Relationship erson(s) o Issuer (Check	of Reporting				
(Last) 2525 Stemmon	of Repo	rting	ntification la Person, (voluntary)		Mo	Statement for onth/Day/Year 30/03	X	Director					
								ice President Officer	& Chief Financial				
(Street) Dallas, Texas 75207-2401							Dat	f Amendment, te of Original onth/Day/Year)	() <u>X</u> P	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City	(State)	(Zip)	Table I Non-Derivative Securities Acquired, I							Disposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	Title of 2. Trans- 2A. Deemed curity Execution		3. Trans- action Code (A) or Disp (Instr. 8) (Instr. 3, 4) Code V Amount			osed o		5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially **FORM 4 (continued)**

(e.g. nuts calls warrants ontions convertible securities)

		(6	.g., puis,	calls, v	vai i aii	us, options, conve	i libie secui illes	9)			
1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of		Date,	Code	Derivati	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative	(Month/	if any		Securitie	Y ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
		-	(Month/	(Instr.	Acquire	d			Following	ative	
		Year)	Day/	8)	(A) or				Reported	Security:	
			Year)		Dispose	đ			Transaction(s)	Direct	
					of (D)				(Instr. 4)	(D)	
			ĺ							1	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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				`	Inst 5, 4 (or Indirect (I) (Instr. 4)	
			Code	V ((A)	Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Trinity Stock Units	1 - for -1	04/30/03	A	1	129	(1)		Common Stock	129	16.55	9,649	I	by Trust

Explanation of Responses:

(1) The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.

By: /s/ Michael G. Fortado
For: Jim S. Ivy
**Signature of Reporting Person

<u>05/01/03</u> Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).