

TRI-CONTINENTAL CORP

Form NSAR-B/A

March 03, 2009

PAGE 1
000 B000000 12/31/2008
000 C000000 099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-266
001 C000000 2128501864
002 A000000 100 PARK AVENUE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10017
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
007 C012900 29
PAGE 2
007 C013000 30
007 C013100 31
007 C013200 32
007 C013300 33
007 C013400 34
007 C013500 35

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

007 C013600 36
007 C013700 37
007 C013800 38
007 C013900 39
007 C014000 40
008 A000001 RIVERSOURCE INVESTMENTS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 MINNEAPOLIS
008 D020001 MN
008 D030001 55474
010 A000001 AMERIPRISE FINANCIAL, INC.
010 C010001 MINNEAPOLIS
010 C020001 MN
010 C030001 55474
012 A000001 SELIGMAN DATA CORP.
012 B000001 84-1895
012 C010001 NEW YORK
012 C020001 NY
012 C030001 55474
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10281
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
015 C030001 64105
015 C040001 1307
015 E010001 X
015 A000002 CITIBANK, N.A.
015 B000002 S
015 C010002 BUENOS AIRES
015 D010002 ARGENTINA
015 E040002 X
015 A000003 CITIBANK, N.A.
015 B000003 S
015 C010003 SYDNEY
015 D010003 AUSTRALIA
015 E040003 X
015 A000004 ERSTE BANK DER OESTERREICHISCHEN SPARKASSEN
015 B000004 S
015 C010004 VIENNA
015 D010004 AUSTRIA
PAGE 3
015 E040004 X
015 A000005 HSBC BANK MIDDLE EAST
015 B000005 S
015 C010005 MANAMA
015 D010005 BAHRAIN
015 E040005 X
015 A000006 STANDARD CHARTERED BANK
015 B000006 S
015 C010006 DHAKA
015 D010006 BANGLADESH
015 E040006 X
015 A000007 DEUTSCHE BANK AG
015 B000007 S
015 C010007 SEOUL
015 D010007 REPUBLIC OF KOREA
015 E040007 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 A000008 SCOTIABANK & TRUST (CAYMAN) LTD.
015 B000008 S
015 C010008 HAMILTON
015 D010008 BERMUDA
015 E040008 X
015 A000009 BANK BOSTON N.A.
015 B000009 S
015 C010009 PANAMA CITY
015 D010009 PANAMA
015 E040009 X
015 A000010 CITIBANK, N.A.
015 B000010 S
015 C010010 SAO PAULO
015 D010010 BRAZIL
015 E040010 X
015 A000011 ING BANK N.V.
015 B000011 S
015 C010011 SOFIA
015 D010011 BULGARIA
015 E040011 X
015 A000012 THE HONGKONG AND SHANGHAI BANKING CORPORATION
015 B000012 S
015 C010012 HO CHI MINH CITY
015 D010012 VIETNAM
015 E040012 X
015 A000013 STATE STREET TRUST COMPANY CANADA
015 B000013 S
015 C010013 TORONTO
015 D010013 CANADA
015 E040013 X
015 A000014 BANKBOSTON
015 B000014 S
015 C010014 PANAMA CITY
015 D010014 PANAMA
015 E040014 X
PAGE 4
015 A000015 BANKBOSTON, N.A.
015 B000015 S
015 C010015 SANTIAGO
015 D010015 CHILE
015 E040015 X
015 A000016 DEUTSCHE BANK AG
015 B000016 S
015 C010016 PARIS
015 D010016 FRANCE
015 E040016 X
015 A000017 THE HONGKONG AND SHANGHAI BANKING CORPORATION
015 B000017 S
015 C010017 SHENZHEN
015 D010017 CHINA
015 E040017 X
015 A000018 CITITRUST COLOMBIA S.A. SOCIEDAD FIDUCIARIA
015 B000018 S
015 C010018 BOGOTA
015 D010018 COLOMBIA
015 E040018 X
015 A000019 BANCO BCT S.A.
015 B000019 S
015 C010019 SAN JOSE
015 D010019 COSTA RICA
015 E040019 X
015 A000020 PRIVREDNA BANKA ZAGREB D.D

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 B000020 S
015 C010020 ZAGREB
015 D010020 CROATIA
015 E040020 X
015 A000021 CYPRUS POPULAR BANK LIMITED
015 B000021 S
015 C010021 NICOSIA
015 D010021 CYPRUS
015 E040021 X
015 A000022 CESKOSLOVENSKA OBCHODNI BANKA A.S.
015 B000022 S
015 C010022 PRAGUE
015 D010022 CZECH REPUBLIC
015 E040022 X
015 A000023 SKANDINAVISKA ENSKILDA BANKEN
015 B000023 S
015 C010023 COPENHAGEN
015 D010023 DENMARK
015 E040023 X
015 A000024 BANCO DE LA PRODUCCION S.A.
015 B000024 S
015 C010024 QUITO
015 D010024 ECUADOR
015 E040024 X
015 A000025 HSBC BANK EGYPT S.A.E.
PAGE 5
015 B000025 S
015 C010025 CAIRO
015 D010025 EGYPT
015 E040025 X
015 A000026 AS HANSAPANK (HANSANK)
015 B000026 S
015 C010026 TALLINN
015 D010026 ESTONIA
015 E040026 X
015 A000028 NORDEA BANK FINLAND PLC.
015 B000028 S
015 C010028 HELSINKI
015 D010028 FINLAND
015 E040028 X
015 A000029 BNP PARIBAS SECURITIES SERVICES, S.A.
015 B000029 S
015 C010029 PARIS
015 D010029 FRANCE
015 E040029 X
015 A000030 DEUTSCHE BANK AG
015 B000030 S
015 C010030 AMSTERDAM
015 D010030 NETHERLANDS
015 E040030 X
015 A000031 BARCLAYS BANK OF GHANA LIMITED
015 B000031 S
015 C010031 ACCRA
015 D010031 GHANA
015 E040031 X
015 A000032 BARCLAYS BANK OF ZAMBIA LIMITED
015 B000032 S
015 C010032 LUSAKA
015 D010032 ZAMBIA
015 E040032 X
015 A000033 NATIONAL BANK OF GREECE S.A.
015 B000033 S

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 C010033 ATHENS
015 D010033 GREECE
015 E040033 X
015 A000034 BARCLAYS BANK OF ZIMBABWE LIMITED
015 B000034 S
015 C010034 HARARE
015 D010034 ZIMBABWE
015 E040034 X
015 A000035 STANDARD CHARTERED BANK
015 B000035 S
015 C010035 JAKARTA
015 D010035 INDONESIA
015 E040035 X
015 A000036 HVB BANK HUNGARY RT.
015 B000036 S
PAGE 6
015 C010036 BUDAPEST
015 D010036 HUNGARY
015 E040036 X
015 A000037 KAUPTHING BANK HF
015 B000037 S
015 C010037 REYKJAVIK
015 D010037 ICELAND
015 E040037 X
015 A000038 THE HONG KONG AND SHANGHAI BANKING CORP.
015 B000038 S
015 C010038 SEOUL
015 D010038 REPUBLIC KOREA
015 E040038 X
015 A000039 DEUTSCHE BANK AG
015 B000039 S
015 C010039 MUMBAI
015 D010039 INDIA
015 E040039 X
015 A000040 THE DEVELOPMENT BANK OF SINGAPORE
015 B000040 S
015 C010040 SINGAPORE
015 D010040 SINGAPORE
015 E040040 X
015 A000041 BANK OF IRELAND
015 B000041 S
015 C010041 DUBLIN
015 D010041 IRELAND
015 E040041 X
015 A000042 BANK HAPOALIM B.M.
015 B000042 S
015 C010042 TEL AVIV
015 D010042 ISRAEL
015 E040042 X
015 A000043 BNP PARIBAS SECURITIES SERVICES, S.A.
015 B000043 S
015 C010043 MILAN
015 D010043 ITALY
015 E040043 X
015 A000044 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE
015 B000044 S
015 C010044 ABIDJAN
015 D010044 IVORY COAST
015 E040044 X
015 A000045 BANCO BCT SA
015 B000045 S
015 C010045 SAN JOSE

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 D010045 COSTA RICA
015 E040045 X
015 A000046 MIZUHO CORPORATE BANK, LTD.
015 B000046 S
015 C010046 TOKYO
PAGE 7
015 D010046 JAPAN
015 E040046 X
015 A000047 HSBC BANK MIDDLE EAST
015 B000047 S
015 C010047 AMMAN
015 D010047 JORDAN
015 E040047 X
015 A000048 HSBC BANK KAZAKHSTAN
015 B000048 S
015 C010048 ALMATY
015 D010048 KAZAKHSTAN
015 E040048 X
015 A000049 BARCLAYS BANK OF KENYA LIMITED
015 B000049 S
015 C010049 NAIROBI
015 D010049 KENYA
015 E040049 X
015 A000050 THE HONG KONG AND SHANGHAI BANKING CORP.
015 B000050 S
015 C010050 SEOUL
015 D010050 REPUBLIC OF KOREA
015 E040050 X
015 A000051 A/S HANSABANKA
015 B000051 S
015 C010051 RIGA
015 D010051 LATVIA
015 E040051 X
015 A000052 HSBC BANK MIDDLE EAST
015 B000052 S
015 C010052 BEIRUT
015 D010052 LEBANON
015 E040052 X
015 A000053 KAS BANK
015 B000053 S
015 C010053 AMSTERDAM
015 D010053 NETHERLANDS
015 E040053 X
015 A000054 CITITRUST COLOMBIA
015 B000054 S
015 C010054 BOGOTA
015 D010054 COLOMBIA
015 E040054 X
015 A000055 STANDARD CHARTERED BANK MALAYSIA BERHAD
015 B000055 S
015 C010055 KUALA LUMPUR
015 D010055 MALAYSIA
015 E040055 X
015 A000056 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE
015 B000056 S
015 C010056 ABIDJAN
015 D010056 MALI
PAGE 8
015 E040056 X
015 A000057 THE HONGKONG AND SHANGHAI BANKING CORPORATION
015 B000057 S
015 C010057 PORT LOUIS

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 D010057 MAURITIUS
015 E040057 X
015 A000058 BANCO NACIONAL DE MEXICO S.A.
015 B000058 S
015 C010058 MEXICO CITY
015 D010058 MEXICO
015 E040058 X
015 A000059 ATTIJARIWafa BANK
015 B000059 S
015 C010059 CASABLANCA
015 D010059 MOROCCO
015 E040059 X
015 A000060 STANDARD BANK NAMIBIA LIMITED
015 B000060 S
015 C010060 WINDHOEK
015 D010060 NAMIBIA
015 E040060 X
015 A000061 KAS BANK N.V.
015 B000061 S
015 C010061 AMSTERDAM
015 D010061 NETHERLANDS
015 E040061 X
015 A000062 WESTPAC BANKING CORPORATION
015 B000062 S
015 C010062 WELLINGTON
015 D010062 NEW ZEALAND
015 E040062 X
015 A000063 STANBIC BANK NIGERIA LIMITED
015 B000063 S
015 C010063 LAGOS
015 D010063 NIGERIA
015 E040063 X
015 A000064 NORDEA BANK NORGE ASA
015 B000064 S
015 C010064 OSLO
015 D010064 NORWAY
015 E040064 X
015 A000065 HSBC BANK MIDDLE EAST
015 B000065 S
015 C010065 MUSCAT
015 D010065 OMAN
015 E040065 X
015 A000066 DEUTSCHE BANK AG
015 B000066 S
015 C010066 KARACHI
015 D010066 PAKISTAN
015 E040066 X
PAGE 9
015 A000067 HSBC BANK MIDDLE EAST
015 B000067 S
015 C010067 RAMALLAH
015 D010067 PALESTINE
015 E040067 X
015 A000068 HSBC BANK (PANAMA) S.A.
015 B000068 S
015 C010068 PANAMA CITY
015 D010068 PANAMA
015 E040068 X
015 A000069 CITIBANK, N.A.
015 B000069 S
015 C010069 LIMA
015 D010069 PERU

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 E040069 X
015 A000070 STANDARD CHARTERED BANK
015 B000070 S
015 C010070 MANILA
015 D010070 PHILIPPINES
015 E040070 X
015 A000071 BANK HANDLOWY W WARSZAWIE S.A.
015 B000071 S
015 C010071 WARSAW
015 D010071 POLAND
015 E040071 X
015 A000072 BANCO COMERCIAL PORTUGUES
015 B000072 S
015 C010072 LISBON
015 D010072 PORTUGAL
015 E040072 X
015 A000073 CITIBANK, N.A.
015 B000073 S
015 C010073 SAN JUAN
015 D010073 PUERTO RICO
015 E040073 X
015 A000074 HSBC BANK MIDDLE EAST
015 B000074 S
015 C010074 DOHA
015 D010074 QATAR
015 E040074 X
015 A000075 ING BANK N.V.
015 B000075 S
015 C010075 BUCHAREST
015 D010075 ROMANIA
015 E040075 X
015 A000076 ING BANK (EURASIA) ZAO
015 B000076 S
015 C010076 MOSCOW
015 D010076 RUSSIA
015 E040076 X
015 A000077 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE
PAGE 10
015 B000077 S
015 C010077 ABIDJAN, IVORY COAST
015 D010077 SENEGAL
015 E040077 X
015 A000079 CESKOSLOVENSKA OBCHODNI BANKA A.S.
015 B000079 S
015 C010079 BRATISLAVA
015 D010079 SLOVAK REPUBLIC
015 E040079 X
015 A000080 BANK AUSTRIA CREDITANSTALT D.D.
015 B000080 S
015 C010080 LJUBLJANA
015 D010080 SLOVENIA
015 E040080 X
015 A000081 NEDBANK LIMITED
015 B000081 S
015 C010081 BRAAMFONTEIN
015 D010081 SOUTH AFRICA
015 E040081 X
015 A000082 STANDARD BANK OF SOUTH AFRICA LIMITED
015 B000082 S
015 C010082 JOHANNESBURG
015 D010082 SOUTH AFRICA
015 E040082 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 A000083 SANTANDER CENTRAL HISPANO S.A.
015 B000083 S
015 C010083 MADRID
015 D010083 SPAIN
015 E040083 X
015 A000084 THE HONGKONG AND SHANGHAI BANKING CORPORATION
015 B000084 S
015 C010084 COLOMBO
015 D010084 SRI LANKA
015 E040084 X
015 A000085 STANDARD BANK SWAZILAND LIMITED
015 B000085 S
015 C010085 MBABANE
015 D010085 SWAZILAND
015 E040085 X
015 A000086 SKANDINAVISKA ENSKILDA BANKEN
015 B000086 S
015 C010086 STOCKHOLM
015 D010086 SWEDEN
015 E040086 X
015 A000087 UBS AG
015 B000087 S
015 C010087 ZURICH
015 D010087 SWITZERLAND
015 E040087 X
015 A000088 CENTRAL TRUST OF CHINA
015 B000088 S
PAGE 11
015 C010088 TAIPEI
015 D010088 TAIWAN
015 E040088 X
015 A000089 STANDARD CHARTERED BANK
015 B000089 S
015 C010089 BANGKOK
015 D010089 THAILAND
015 E040089 X
015 A000090 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE
015 B000090 S
015 C010090 ABIDJAN, IVORY COAST
015 D010090 TOGO
015 E040090 X
015 A000091 REPUBLIC BANK LIMITED
015 B000091 S
015 C010091 PORT OF SPAIN
015 D010091 TRINIDAD & TOBAGO
015 E040091 X
015 A000092 BANQUE INTERNATIONALE ARABE DE TUNISIE
015 B000092 S
015 C010092 TUNIS
015 D010092 TUNISIA
015 E040092 X
015 A000093 CITIBANK, A.S.
015 B000093 S
015 C010093 ISTANBUL
015 D010093 TURKEY
015 E040093 X
015 A000094 BARCLAYS BANK OF UGANDA LIMITED
015 B000094 S
015 C010094 KAMPALA
015 D010094 UGANDA
015 E040094 X
015 A000095 ING BANK UKRAINE

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

015 B000095 S
015 C010095 KIEV
015 D010095 UKRAINE
015 E040095 X
015 A000096 HSBC BANK MIDDLE EAST
015 B000096 S
015 C010096 DUBAI
015 D010096 UNITED ARAB EMIRATES
015 E040096 X
015 A000097 STATE STREET BANK AND TRUST COMPANY
015 B000097 S
015 C010097 LONDON
015 D010097 UNITED KINGDOM
015 E040097 X
015 A000098 BANKBOSTON, N.A.
015 B000098 S
015 C010098 MONTEVIDEO
PAGE 12
015 D010098 URUGUAY
015 E040098 X
015 A000099 DANSKE BANK
015 B000099 S
015 C010099 COPENHAGEN
015 D010099 DENMARK
015 E040099 X
018 000000 Y
019 A000000 Y
019 B000000 163
019 C000000 RIVERSORCE
020 A000001 ITG INC.
020 B000001 95-4339369
020 C000001 788
020 A000002 RBC CAPITAL MARKETS
020 B000002 41-1228350
020 C000002 545
020 A000003 CREDIT SUISSE FIRST BOSTON CORPORATION
020 B000003 13-5659485
020 C000003 481
020 A000004 UBS WARBURG LLC
020 B000004 13-3873456
020 C000004 368
020 A000005 JP MORGAN SECURITIES INC
020 B000005 13-3379014
020 C000005 317
020 A000006 LEHMAN BROTHERS INC.
020 B000006 13-2518466
020 C000006 264
020 A000007 CHAPDELAIN CORP SECURITIES & CO.
020 B000007 13-3492782
020 C000007 196
020 A000008 DEUTSCHE BANK SECURITIES INC.
020 B000008 13-2730828
020 C000008 144
020 A000009 MERRILL PIERCE, FENNER & SMITH, INC.
020 B000009 13-5674085
020 C000009 137
020 A000010 WACHOVIA CAPITAL MARKETS
020 B000010 54-1796506
020 C000010 97
021 000000 4245
022 A000001 BNP PARIBAS SECURITIES
022 B000001 13-3235334

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

022 C000001 3149733
 022 D000001 0
 022 A000002 CITIGROUP GLOBAL MARKETS, INC.
 022 B000002 11-2418191
 022 C000002 3034892
 022 D000002 0
 022 A000003 BANK OF MONTREAL-TORONTO
 PAGE 13
 022 B000003 13-4941092
 022 C000003 1920575
 022 D000003 0
 022 A000004 ROYAL BANK OF SCOTLAND
 022 B000004 13-1898944
 022 C000004 1914995
 022 D000004 0
 022 A000005 BANK OF NOVA SCOTIA-TORONTO
 022 B000005 03-8405740
 022 C000005 1727028
 022 D000005 0
 022 A000006 RABOBANK DEUTSCHLAND
 022 B000006 13-3036591
 022 C000006 1415957
 022 D000006 0
 022 A000007 DEXIA BANK
 022 B000007 13-3915825
 022 C000007 1110561
 022 D000007 0
 022 A000008 CHASE SECURITIES
 022 B000008 13-3379014
 022 C000008 744887
 022 D000008 0
 022 A000009 STATE STREET BANKS & TRUST COMPANY
 022 B000009 04-1867445
 022 C000009 669127
 022 D000009 54126
 022 A000010 WACHOVIA CAPITAL MARKETS
 022 B000010 54-1791506
 022 C000010 411777
 022 D000010 0
 023 C000000 17160002
 023 D000000 72350
 024 000000 Y
 025 A000001 CITIGROUP, INC.
 025 B000001 11-2418191
 025 C000001 E
 025 D000001 17563
 025 A000002 JPMORGAN CHASE
 025 B000002 13-3379014
 025 C000002 E
 025 D000002 31763
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 PAGE 14
 026 D000000 Y
 026 E000000 N

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

| | | | |
|-----|---------|-------|---|
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| | PAGE | 15 | |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | Y | |
| 047 | 000000 | Y | |
| 048 | 000000 | 0.400 | |
| 048 | A010000 | | 0 |
| 048 | A020000 | 0.000 | |
| 048 | B010000 | | 0 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

| | | | |
|-----|---------|-------|---|
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |
| 048 | D010000 | | 0 |
| 048 | D020000 | 0.000 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
| 048 | J020000 | 0.000 | |
| 048 | K010000 | | 0 |
| 048 | K020000 | 0.000 | |
| 049 | 000000 | N | |
| 050 | 000000 | N | |
| 051 | 000000 | N | |
| 052 | 000000 | Y | |
| 053 | A000000 | N | |
| 054 | A000000 | Y | |
| 054 | B000000 | Y | |
| 054 | C000000 | N | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | Y | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | Y | |
| | PAGE | 16 | |
| 055 | A000000 | Y | |
| 055 | B000000 | N | |
| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | Y | |
| 060 | B000000 | Y | |
| 061 | 000000 | | 0 |
| 062 | A000000 | N | |
| 062 | B000000 | 0.0 | |
| 062 | C000000 | 0.0 | |
| 062 | D000000 | 0.0 | |
| 062 | E000000 | 0.0 | |
| 062 | F000000 | 0.0 | |
| 062 | G000000 | 0.0 | |
| 062 | H000000 | 0.0 | |
| 062 | I000000 | 0.0 | |
| 062 | J000000 | 0.0 | |
| 062 | K000000 | 0.0 | |
| 062 | L000000 | 0.0 | |
| 062 | M000000 | 0.0 | |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

| | | |
|-----|---------|---------|
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 0.0 |
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | B000000 | N |
| 066 | C000000 | N |
| 066 | D000000 | N |
| 066 | E000000 | Y |
| 066 | F000000 | N |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | Y |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | Y |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| | PAGE | 17 |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | Y |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | N |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | Y |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 1910329 |
| 071 | B000000 | 1992173 |
| 071 | C000000 | 1720603 |
| 071 | D000000 | 111 |
| 072 | A000000 | 12 |
| 072 | B000000 | 34169 |
| 072 | C000000 | 32738 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

| | |
|-------------|------|
| 072 D000000 | 0 |
| 072 E000000 | 0 |
| 072 F000000 | 7747 |
| 072 G000000 | 0 |
| 072 H000000 | 0 |
| 072 I000000 | 3254 |
| 072 J000000 | 517 |
| 072 K000000 | 0 |
| 072 L000000 | 331 |
| 072 M000000 | 156 |
| 072 N000000 | 72 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 0 |
| 072 R000000 | 161 |
| 072 S000000 | 31 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 465 |

PAGE 18

| | |
|-------------|---------|
| 072 W000000 | 458 |
| 072 X000000 | 13192 |
| 072 Y000000 | 0 |
| 072 Z000000 | 53715 |
| 072AA000000 | 137601 |
| 072BB000000 | 1075039 |
| 072CC010000 | 0 |
| 072CC020000 | 116915 |
| 072DD010000 | 178058 |
| 072DD020000 | 0 |
| 072EE000000 | 40184 |
| 073 A010000 | 1.7200 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.3900 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 207 |
| 074 B000000 | 0 |
| 074 C000000 | 21474 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 893426 |
| 074 G000000 | 260 |
| 074 H000000 | 0 |
| 074 I000000 | 1893 |
| 074 J000000 | 83481 |
| 074 K000000 | 110 |
| 074 L000000 | 1467 |
| 074 M000000 | 93 |
| 074 N000000 | 1002411 |
| 074 O000000 | 66558 |
| 074 P000000 | 352 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 3965 |
| 074 S000000 | 37637 |
| 074 T000000 | 893899 |
| 074 U010000 | 79181 |
| 074 U020000 | 0 |
| 074 V010000 | 11.29 |
| 074 V020000 | 0.00 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

| | | |
|-----|---------|-----------------------------------|
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 26087 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 1867195 |
| 076 | 000000 | 9.86 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | Y |
| | PAGE | 19 |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | Y |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | GREAT AMERICAN INSURANCE COMPANY |
| 080 | B000000 | FEDERAL INSURANCE COMPANY |
| 080 | C000000 | 79400 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 375 |
| 086 | A020000 | 5809 |
| 086 | B010000 | 28907 |
| 086 | B020000 | 335464 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | TRI-CONTINENTAL - COMMON STOCK |
| 087 | A020000 | 895436103 |
| 087 | A030000 | TY |
| 087 | B010000 | TRI-CONTINENTAL - PREFERRED STOCK |
| 087 | B020000 | 895436202 |
| 087 | B030000 | TY |
| 087 | C010000 | TRI-CONTINENTAL - WARRANT |
| 087 | C020000 | 895436111 |
| 088 | A000000 | N |
| | PAGE | 20 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B/A

088 B000000 N

088 C000000 N

088 D000000 Y

SIGNATURE LAWRENCE P. VOGEL

TITLE TREASURER