#### TRIO TECH INTERNATIONAL

Form 4/A

November 06, 2006

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

*See* Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * ZEFF DANIEL |                                     | 2. Issuer Name <b>and</b> Ticker or Trading Symbol TRIO TECH INTERNATIONAL [TRT] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |  |
|---|-------------------------------------|--|--|--|--|
| (Last) 50 CALIFOR 1500                                | (First) (Middle)  NIA STREET, SUITE | 3. Date of Earliest Transaction (Month/Day/Year) 10/27/2006                      | Director 10% Owner Officer (give titleX Other (specify below) Under 10%  |  |  |
| (Street) SAN FRANCISCO, CA 94111                      |                                     | 4. If Amendment, Date Original Filed(Month/Day/Year) 10/30/2006                  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting<br>Person |  |  |

| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Ov |
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|      |                     | Table 1 Non Derivative Securities Required, Disposed of, or Beneficiary Owned |   |        |         |   |             |  |  |   |
|------|---------------------|---|---|--------|---------|---|-------------|--|--|---|
| Seci | tle of urity tr. 3) | 2. Transaction Date (Month/Day/Year)  | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   |         | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|      |                     |   |   | Code V | Amount  | or<br>(D)   | Price       | (Instr. 3 and 4)   |  |   |
|      | mmon<br>ck (1)      | 10/27/2006  |   | S      | 419 (2) | D   | \$ 12.5     | 144,103  | I  | See footnote (2)  |
|      | mmon<br>ck (1)      | 10/27/2006  |   | S      | 279 (2) | D   | \$<br>12.51 | 143,824  | I  | See footnote (2)  |
|      | mmon<br>ck (1)      | 10/27/2006  |   | S      | 314 (2) | D   | \$<br>12.78 | 143,510  | I  | See footnote (2)  |
| Co   | mmon                | 10/27/2006  |   | S      | 35 (2)  | D   | \$          | 143,475  | I  | See   |

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| Stock (1)        |            |   |                |   | 12.79       |         |   | footnote (2)     |
|------------------|------------|---|----------------|---|-------------|---------|---|------------------|
| Common Stock (1) | 10/27/2006 | S | 244 (2)        | D | \$ 12.9     | 143,231 | I | See footnote (2) |
| Common Stock (1) | 10/27/2006 | S | 1,263<br>(2)   | D | \$ 13       | 141,968 | I | See footnote (2) |
| Common Stock (1) | 10/27/2006 | S | 133 (2)        | D | \$<br>13.01 | 141,835 | I | See footnote     |
| Common Stock (1) | 10/27/2006 | S | 35 (2)         | D | \$<br>13.06 | 141,800 | I | See footnote (2) |
| Common Stock (1) | 10/27/2006 | S | 278 (2)        | D | \$<br>13.16 | 141,522 | I | See footnote (2) |
| Common Stock (1) | 10/27/2006 | S | 781 <u>(3)</u> | D | \$ 12.5     | 166,672 | I | See footnote (3) |
| Common Stock (1) | 10/27/2006 | S | 521 (3)        | D | \$<br>12.51 | 166,151 | I | See footnote (3) |
| Common Stock (1) | 10/27/2006 | S | 586 (3)        | D | \$<br>12.78 | 165,565 | I | See footnote (3) |
| Common Stock (1) | 10/27/2006 | S | 65 (3)         | D | \$<br>12.79 | 165,500 | I | See footnote (3) |
| Common Stock (1) | 10/27/2006 | S | 456 <u>(3)</u> | D | \$ 12.9     | 165,044 | I | See footnote (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative |                         | 3. Transaction Date (Month/Day/Year) |                         | • •             | 5.<br>orNumber  | 6. Date Exercisable and Expiration Date | 7. Title and Amount of   | 8. Price of Derivative |               |
|------------------------|-------------------------|--------------------------------------|-------------------------|-----------------|-----------------|---|--------------------------|------------------------|---------------|
| Security (Instr. 3)    | or Exercise<br>Price of |                                      | any<br>(Month/Day/Year) | Code (Instr. 8) | of<br>Derivativ | (Month/Day/Year)<br>e                   | Underlying<br>Securities | Security (Instr. 5)    | Secur<br>Bene |

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Derivative Securities (Instr. 3 and 4)

Security Acquired  $\begin{array}{c} \text{Acquired} \\ \text{(A) or} \\ \text{Disposed} \\ \text{of (D)} \end{array}$ 

(Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount

Exercisable Date

or Number of Shares Own

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**ZEFF DANIEL** 

50 CALIFORNIA STREET

SUITE 1500 Under 10%

SAN FRANCISCO, CA 94111

Zeff Holding Company, LLC

50 CALIFORNIA STREET Under 10%

SAN FRANCISCO, CA 94111

Spectrum Galaxy Fund Ltd.

50 CALIFORNIA STREET
Under 10%

SUITE 1500 SAN FRANCISCO, CA 94111

Zeff Capital Partners I, L.P.

C/O ZEFF HOLDING COMPANY, LLC

50 CALIFORNIA STREET

Under 10%

SAN FRANCISCO, CA 94111

**Signatures** 

Daniel Zeff 11/06/2006

\*\*Signature of Reporting Person Date

Daniel Zeff for Zeff Capital Partners I, L.P. 11/06/2006

\*\*Signature of Reporting Person Date

Dion R. Friedland for Spectrum Galaxy
11/06/2006

Fund Ltd.

\*\*Signature of Reporting Person Date

Daniel Zeff for Zeff Holding Company, 11/06/2006

LLC

\*\*Signature of Reporting Person Date

Reporting Owners 3

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Amended Form 4 amends and supplements that Form 4 originally filed with the Securities and Exchange Commission on 10/30/2006 by Daniel Zeff, an individual ("Zeff"), Spectrum Galaxy Fund Ltd., a company incorporated in the British Virgin Islands ("Spectrum"), Zeff Capital Partners, I, L.P., a Delaware limited partnership ("Capital"), and Zeff Holding Company, LLC, a Delaware
- (1) limited liability company ("Holding") (collectively, the "Reporting Persons") related to the common stock (the "Common Stock") of Trio Tech International (the "Company"). This Amendment corrects an inadvertent error that identified the Transaction Code in column 3 for each reported transaction as "P" rather than as "S" and provides a clarifying footnote regarding the Reporting Persons' beneficial ownership of the Common Stock.
  - This transaction was effected through Spectrum. Zeff provides discretionary investment management services to Zeff Capital Offshore Fund, a class of shares of Spectrum. The entire amount of the Issuer's securities held by Spectrum is reported herein. Zeff disclaims, for purposes of Section 16, beneficial ownership of such securities, except to the extent of his indirect pecuniary interest therein. This report
- (2) shall not be deemed an admission that Zeff is the beneficial owner of such securities for purposes of Section 16 or for any other purposes. Each of Holding and Capital disclaims beneficial ownership of all securities held by Spectrum. As of the end of the Transaction Date reported herein, Zeff also indirectly beneficially owns 161,853 shares of TRT common stock through Capital. Zeff is the sole manager and member of Zeff Holding Company, LLC, which serves as the general partner for Capital.
  - This transaction was effected through Capital. Zeff is the sole manager and member of Holding, which serves as the general partner for Capital. The entire amount of the Issuer's securities held by Capital is reported herein. Each of Zeff and Holding disclaims, for purposes of Section 16, beneficial ownership of such securities, except to the extent of its/his indirect pecuniary interest therein. This report shall
- (3) not be deemed an admission that any of Zeff or Holding is the beneficial owner of such securities for purposes of Section 16 or for any other purposes. Spectrum disclaims beneficial ownership of all Shares held through Capital. As of the end of the Transaction Date reported herein, Zeff also indirectly beneficially owns 141,522 shares of TRT common stock through Spectrum. Zeff provides discretionary investment management services to Zeff Capital Offshore Fund, a class of shares of Spectrum.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.