

CARNIVAL CORP  
Form 5  
January 14, 2014

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
ARISON MICKY MEIR

(Last) (First) (Middle)

CARNIVAL  
CORPORATION, 3655 NW 87TH  
AVE

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
CARNIVAL CORP [CCL]

3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
11/30/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Reporting

(check applicable line)

MIAMI, FL 33178

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/26/2012	Â	G	3,000,000	D	\$ 0	100,638,843	I	By MA 1994 B Shares, L.P.
Common Stock	12/26/2012	Â	G	3,000,000	A	\$ 0	3,000,000	I	By Nickel 97A-B Trust
	11/21/2013	Â	G	756,008	D	\$ 0	0	I	

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Common Stock									By Nickel 2008-2 GRAT
Common Stock	11/21/2013	Â	G	756,008	A	\$ 0	756,008	I	By NA 2008 Trust <sup>(1)</sup>
Common Stock	11/21/2013	Â	G	756,009	D	\$ 0	0	I	By Nickel 2008-2 GRAT
Common Stock	11/21/2013	Â	G	756,009	A	\$ 0	756,009	I	By KA 2008 Trust <sup>(2)</sup>
Common Stock	Â	Â	Â	Â	Â	Â	5,539,230	I	By Various Trusts <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Securities (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARISON MICKY MEIR CARNIVAL CORPORATION 3655 NW 87TH AVE	Â X	Â X	Â Chairman of the Board	Â

MIAMI, FL 33178

## Signatures

/s/ John J. O'Neil,  
attorney-in-fact

01/14/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person disclaims beneficial ownership of the shares of common stock held by the NA 2008 Trust.

(2) The Reporting Person disclaims beneficial ownership of the shares of common stock held by the KA 2008 Trust.

Includes (i) 538,393 shares of common stock held by the Nickel 97-07 Trust; (ii) 442,307 shares of common stock held by the Nickel

(3) 2009 GRAT, (iii) 4,077,572 shares of common stock held by the Nickel 2003 Revocable Trust and (iv) 480,958 shares of common stock held by the Nickel 2010 GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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