Edgar Filing: Mahoney Timothy O. - Form 4

Mahoney Tr Form 4	•												
August 04, 2										OMB AP	PROVAL		
FORM	4 UNITED	STATES				AND EXC , D.C. 205		IGE CO	MMISSION	OMB	3235-0287		
Check the			Number: Expires:	January 31,									
if no lon subject t Section Form 4	16. SIAIEN	Estimated average burden hours per response 0.5											
Form 5 obligation may corn <i>See</i> Instru- 1(b).	ons Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section											
(Print or Type	Responses)												
1. Name and Address of Reporting Person _ 2. Issue Mahoney Timothy O. Symbol HONE INC [H]						d Ticker or T		Is	5. Relationship of Reporting Person(s) to Issuer				
					L	INTERNA	ΠΟΓ	NAL	(Check all applicable)				
				of Earliest Transaction /Day/Year)					Director 10% Owner _X Officer (give title Other (specify elow) below)				
115 TABO	R ROAD		08/02/2	/2017					President and CEO, Aerospace				
	(Street)		4. If Am Filed(Mo			ate Original r)		A	Individual or Join pplicable Line) X_Form filed by Or _Form filed by Mo	ne Reporting Per	son		
MORRIS F	PLAINS, NJ 0795	0						Pe	erson	se than one ree	orting		
(City)	(State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								y Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transac Code (Instr. 3		4. Securitie nor Disposed (Instr. 3, 4 a	l of (D		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common				Code	V	Amount	(D)	Price	(Instr. 3 and 4)				
Stock	08/02/2017			M <u>(1)</u>		211,202	А	\$ 56.73	294,155	D			
Common Stock	08/02/2017			M <u>(1)</u>		211,202	А	\$ 39.952	505,357	D			
Common Stock	08/02/2017			S		275,700	D	\$ 138.05 (5)	229,657	D			
Common Stock	08/02/2017			S		66,001	D	\$ 138.21 (6)	163,656	D			
									4 686	I			

Common
Stock

Held in 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ivative Expiration Date urities (Month/Day/Year) urited (A) or posed of (D)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Stock Option (right to buy)	\$ 56.73	08/02/2017		М		211,202 (2)	02/25/2015 <u>(3)</u>	02/24/2021	Common Stock	211,2 (2)
Stock Option (right to buy)	\$ 39.95	08/02/2017		М		211,202 (2)	02/26/2014(4)	02/25/2020	Common Stock	211,2 (2)

Reporting Owners

Reporting Owner Name / Address	Relationships							
I B	Director	10% Owner	Officer	Other				
Mahoney Timothy O. 115 TABOR ROAD MORRIS PLAINS, NJ 07950			President and CEO, Aerospace					
Signatures								
Jacqueline Katzel for Timothy Mahoney	0.	08/	04/2017					
<u>**</u> Signature of Reporting Person			Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This represents the exercise of options granted to the Reported Person. Following the exercise, the Reporting Person remains in(1) compliance with the ownership threshold under the Stock Ownership Guidelines of the Company and is required under the Guidelines to hold the net gain shares (net of shares sold to cover the exercise price and taxes) for at least one year.

All options and restricted stock units held by the Reporting Person have been adjusted to increase the number of shares and, in the case of
 (2) the options, reduce the exercise price, in a manner subject to the adjustment provisions of the AdvanSix Inc. spin-off from Honeywell which occurred on October 1, 2016.

- (3) The Employee Stock Options were granted under the 2006 Stock Incentive Plan of Honeywell International Inc. and its Affiliates and vested in four equal annual installments, with the first installment vesting on 2/25/2012.
- (4) The Employee Stock Options were granted under the 2006 Stock Incentive Plan of Honeywell International Inc. and its Affiliates and vested in four equal annual installments, with the first installment vesting on 2/24/2011.

The price reported in this column is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.77 to \$138.34, inclusive. The Reporting Person undertakes to provide to Honeywell International Inc., any security holder of Honeywell International Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the

(5) Honeywell International Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(6) The price reported in this column is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$138.09 to \$138.36, inclusive. The Reporting Person undertakes to provide to Honeywell International Inc., any security holder of Honeywell International Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.