Edgar Filing: NOVICK STEPHEN A - Form 4

| NOVICK S' Form 4 | TEPHEN A | | | | | | | | | |
|--|---|---|---|---|--|---|---|--|---|--|
| December 2 | 2, 2009 | | | | | | | | | |
| FORM | ЛД | | | | | | | | PPROVAL | |
| | UNITED | STATES | | | | ND EXCHANGE COMMISSION , D.C. 20549 | | | 3235-0287 | |
| Check th if no lon subject th Section Form 4 of Form 5 obligation may com <i>See</i> Instru 1(b). | ger o 16. or Filed pur ons tinue. | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> NOVICK STEPHEN A | | | 2. Issuer Name and Ticker or Trading Symbol ARK RESTAURANTS CORP [ARKR] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (Last) (First) (Middle) | | | 3. Date of Earliest Transaction | | | X Director 10% Owner | | | |
| 85 FIFTH A | FLOOR | (Month/Day/Year) | | | Officer (giv below) | Officer (give title Other (specify below) below) | | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEW YOR | K, NY 10003 | | | | | | Form filed by Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Tab | ole I - Non-I | Derivative | Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | 4. Securit mAcquired Disposed (Instr. 3, 4) | (A) or of (D) | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Reminder: Re | port on a separate line | e for each cl | ass of sec | urities bene | Perso inform requir | ns who re nation con ed to resp lys a curre | or indirectly. spond to the colle tained in this form ond unless the fo ently valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | D |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | S |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | |
|------------------|------------------------------------|------------|------------------|------------|---|-----------------------|--------------------|-----------------|--|
| | | | | Code V | (A) (I |) Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 32.15 | 12/19/2009 | | А | 1,250 | 12/19/2009 | 12/18/2016 | Common Stock | 1,250 |
| Stock Options | \$ 32.15 | | | | | 12/19/2007 | 12/18/2016 | Common Stock | 1,250 |
| Stock Options | \$ 32.15 | | | | | 12/19/2008 | 12/18/2016 | Common Stock | 1,250 |

Reporting Owners

| Reporting Owner Name / Addre | PSS | Relationships | | | | | | |
|---|------------|---------------|---------|-------|--|--|--|--|
| Reporting O when Runne / Runne | Director | 10% Owner | Officer | Other | | | | |
| NOVICK STEPHEN A 85 FIFTH AVENUE 14TH FLOOR NEW YORK, NY 10003 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Stephen Novick | 12/21/2009 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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