## Edgar Filing: Midwest Energy Emissions Corp. - Form 4

Midwest Energy Emissions Corp. Form 4 February 03, 2014

| February 03   | 3, 2014                                 |   |  |   |   |   |  |  |   |   |
|---|---|---|--|---|---|---|--|--|---|---|
| FORM  | <b>/ /</b>                              |   | CECU   |   |   |   |  |  | PPROVAL   |   |
|   | UNITED                                  | STATES  | SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |   |   |   |  | N OMB<br>Number:   | 3235-028  | 7 |
| Check t<br>if no lor                                      | nger                                    |   |  |   |   |   |  | Expires:   | January 31<br>200   |   |
| subject<br>Section<br>Form 4                              | to STATEN<br>16.<br>or                  |   |  | SECUI   | WNERSHIP OF   | Estimated<br>burden ho<br>response.                         | average<br>urs per   |  |   |   |
| Form 5<br>obligati<br>may con<br><i>See</i> Inst<br>1(b). | ons<br>ntinue. Section 17(              | a) of the F   | Public U   | Itility Hol   |   | pany Act  | nge Act of 1934,<br>of 1935 or Secti<br>940  |  |   |   |
| (Print or Type  | Responses)                              |   |  |   |   |   |  |  |   |   |
| 1. Name and<br>Gross Rich                                 | _                                       | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Midwest Energy Emissions Corp. |  |   |   | 5. Relationship of Reporting Person(s) to Issuer            |  |  |   |   |
|   |   |   | [MEEC  |   | Liiiissioi  | is Corp.  | (Check all applicable)   |  |   |   |
| (Last)  | Middle)                                 | 3. Date of Earliest Transaction<br>(Month/Day/Year)                                     |  |   |   | Director 10% Owner<br>X_ Officer (give title Other (specify |  |  |   |   |
| 500 WEST<br>ROAD, SU                                      | TWILSON BRIDO<br>JITE 140               |   | 01/30/2  | •   |   |   | below)<br>VP and C   | below)<br>Chief Financial  | Officer   |   |
|   |   |   |  | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |   |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |   |   |
| WORTHIN   | NGTON, OH 4308                          | 35  |  |   |   |   | Form filed by<br>Person  | More than One F  | Reporting   |   |
| (City)  | (State)                                 | (Zip)   | Tab  | ole I - Non-l   | Derivative  | Securities A  | cquired, Disposed  | of, or Beneficia   | ally Owned  |   |
| 1.Title of<br>Security<br>(Instr. 3)                      | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deeme<br>Execution I<br>any<br>(Month/Da  | Date, if   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V        | 4. Securition<br>onAcquired (<br>Disposed of<br>(Instr. 3, 4)<br>Amount | A) or<br>of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
| Reminder: Re  | port on a separate line                 | e for each cla  | uss of sec   | urities bene  | ficially own  | ed directly (   | or indirectly  |  |   |   |
|   |   |   |  |   | Persor<br>inform<br>require   | ns who res<br>ation cont<br>ed to resp<br>/s a curre        | spond to the colle<br>tained in this forn<br>ond unless the fo<br>ntly valid OMB co                                | n are not<br>rm  | SEC 1474<br>(9-02)  |   |
|   | Tab                                     | le II - Deriv   | ative Sec  | curities Acc  | uired. Disr   | osed of, or   | Beneficially Owned   | đ  |   |   |

(*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.         | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative  | Conversion | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | Underlying Securities  |

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| Security<br>(Instr. 3)               | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8 | ) A<br>D | Disposed of<br>Instr. 3, 4, | ired (A) or<br>osed of (D) |                     | (Instr. 3 and 4)   |                 |                                |
|--------------------------------------|---|------------|-------------------------|-------------------|----------|-----------------------------|----------------------------|---------------------|--------------------|-----------------|--------------------------------|
|                                      |   |            |                         | Code              | V        | (A)                         | (D)                        | Date<br>Exercisable | Expiration<br>Date | Title           | Amount o<br>Number o<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 1.2  | 01/30/2014 |                         | А                 | 1        | 100,000                     |                            | 01/30/2014          | 01/30/2019         | Common<br>Stock | 100,00                         |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                          |               | Relationships |                                |         |       |  |  |  |
|--|---------------|---------------|--------------------------------|---------|-------|--|--|--|
| Reporting Owner  | and / multiss | Director      | 10% Owner                      | Officer | Other |  |  |  |
| Gross Richard H<br>500 WEST WILSON BRID<br>WORTHINGTON, OH 430 |               |               | VP and Chief Financial Officer |         |       |  |  |  |
| Signatures   |               |               |                                |         |       |  |  |  |
| /s/ Richard H.<br>Gross  | 02/03/2014    |               |                                |         |       |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.