#### Edgar Filing: SOUTHERN MISSOURI BANCORP INC - Form 4

#### SOUTHERN MISSOURI BANCORP INC

Form 4 May 15, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* STEFFENS GREG A

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

SOUTHERN MISSOURI BANCORP INC [SMBC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year)

05/13/2014

below) President

C/O SOUTHERN MISSOURI BANCORP INC, 531 VINE

STREET

(Instr. 3)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

POPLAR BLUFF, MO 63901-7330

(Street)

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

Amount

5. Amount of Securities Beneficially Owned Following

Reported

7. Nature of Ownership Form: Direct (D) or

Indirect (I)

(Instr. 4)

D

Ι

Indirect Beneficial Ownership (Instr. 4)

(A)

Transaction(s) or (D) Price

(Instr. 3 and 4)

Common 05/13/2014 Stock

 $M^{(1)}$ 

Code V

(Instr. 8)

10,000 15.23

126,200

17,777.94

4,000

401(k)

Common Stock

Common

Stock

I

**IRA** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not SEC 1474 (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		(
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 15.23	05/13/2014		M		10,000	(2)	05/18/2014	Common Stock	10,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
STEFFENS GREG A C/O SOUTHERN MISSOURI BANCORP INC 531 VINE STREET POPLAR BLUFF, MO 63901-7330	X		President			

# **Signatures**

/s/ Greg A.

Steffens 05/15/2014

\*\*Signature of Person

\*\*Bignature of Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock award vesting in 5 equal annual installments beginning 06/30/2013.
- (2) The option vests in five equal annual installments beginning May 18, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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