SINGER KAREN

Form 4 January 22, 2019

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

SEACHANGE INTERNATIONAL

Symbol

1(b).

(Print or Type Responses)

SINGER KAREN

1. Name and Address of Reporting Person \*

See Instruction

INC [SEAC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 212 VACCARO DRIVE 01/17/2019 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CRESSKILL, NJ 07626 Person (Zip) (City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Ownership Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price As managing member of Common 01/17/2019 P 200,000 \$ 1.68 4,729,017 (1) I Α Stock **TAR Holdings** LLC As managing Common member of 01/17/2019 P 70,000 \$ 1.62  $4,799,017 \stackrel{(1)}{=} I$ A Stock **TAR Holdings** 

LLC

## Edgar Filing: SINGER KAREN - Form 4

Common Stock	01/17/2019	P	30,000	A	\$ 1.63	4,829,017 (1) I	As managing member of TAR Holdings LLC		
Common Stock	01/18/2019	P	11,200	A	\$ 1.6395	4,840,217 <u>(1)</u> I	As managing member of TAR Holdings LLC		
Common Stock	01/18/2019	P	50,000	A	\$ 1.65	4,890,217 <u>(1)</u> I	As managing member of TAR Holdings LLC		
Common Stock	01/18/2019	X	10,000	A	\$ 2.5	4,900,217 (1) (2)	As managing member of TAR Holdings LLC		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not (9-02)									

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.						7. Title and Amount of	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transac Code (Instr. 8	8) 3	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Put Option (obligation	\$ 2.5	01/18/2019		X			100	01/08/2019	01/18/2019	Common Stock	10,000 (1)

Common

Stock

75,000

(1)

to buy)

Put Option
(obligation \$ 2.5
to buy)

01/08/2019 02/15/2019

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SINGER KAREN

212 VACCARO DRIVE X

CRESSKILL, NJ 07626

**Signatures** 

/s/ Andrew M. Freedman Attorney-in-fact

01/22/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities except to the extent of her pecuniary interest therein. This filing (1) shall not be deemed an admission that the reporting person is, for purposes of Section 16 of the Securties Exchange Act of 1934 or otherwise, the beneficial owner of any equity securities covered by this filing.
- (2) On January 18, 2019, the counterparty to put options sold on January 8, 2019 by TAR Holdings LLC exercised such options without any action taken by TAR Holdings LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3