

SYSCO CORP

Form 3

March 13, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Grade Joel T.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/03/2014

3. Issuer Name and Ticker or Trading Symbol

SYSCO CORP [SYY]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☒ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Finance - CAO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

1390 ENCLAVE PARKWAY

(Street)

HOUSTON, TX 77077

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

26,999.46 ⁽¹⁾

D

^

Common Stock

200

I

Held in trust for son.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to buy)	11/10/2014	11/09/2016	Common Stock	1,800	\$ 27.44	D	Â
Stock Option (Right to buy)	Â (2)	11/10/2017	Common Stock	21,000	\$ 28.87	D	Â
Stock Option (Right to buy)	Â (3)	11/10/2017	Common Stock	15,000	\$ 28.87	D	Â
Stock Option (Right to buy)	Â (4)	11/14/2018	Common Stock	29,500	\$ 27.65	D	Â
Stock Option (Right to buy)	Â (5)	11/13/2019	Common Stock	87,188	\$ 29.96	D	Â
Stock Option (Right to buy)	Â (6)	11/14/2023	Common Stock	75,637	\$ 30.4	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Grade Joel T. 1390 ENCLAVE PARKWAY HOUSTON, TX 77077	Â	Â X	Â SVP, Finance - CAO	Â

Signatures

/s/ Russell T. Libby 03/13/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 20,442 restricted stock units that may be settled solely by delivery of an equal number of shares of common stock.
- (2) 21,000 options of which 7,000 were exercisable on 11/10/2013, 7,000 exercisable on 11/10/14 and 7,000 exercisable on 11/10/2015.
- (3) 15,000 options of which 5,000 were exercisable on 11/10/2013, 5,000 exercisable on 11/10/14 and 5,000 exercisable on 11/10/2015.
- (4) 29,500 options of which 4,375 were exercisable on 11/10/2013, 8,375 exercisable on 11/10/14, 8,375 exercisable on 11/10/2015 and 8,375 exercisable on 11/10/2016.
- (5) 87,188 options of which 17,437 were exercisable on 11/13/2013, 17,438 exercisable on 11/13/2014, 17,437 exercisable on 11/13/2015, 17,438 exercisable on 11/13/2016 and 17,438 exercisable on 11/13/2017.
- (6) 75,637 options of which 15,127 exercisable on 11/14/2014, 15,127 exercisable on 11/14/2015, 15,128 exercisable on 11/14/2016, 15,127 exercisable on 11/14/2017, 15,128 exercisable on 11/14/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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