CROWN JAMES S Form 4

March 09, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response...

5. Relationship of Reporting Person(s) to

7,291,752

301,892

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1(b).

Stock

Stock

Stock

Common

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \*

CROWN JAMES S		Symbol	AL DYNAMICS C	C	Issuer (Check all applicable)				
(Last) 222 N. LAS 2000	(First) (Mic	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 03/07/2018			_X_ Director 10% Owner Officer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CHICAGO,	IL 60601				Form filed by More than One Reporting Person				
(City)	(State) (Zi	ip) Table	e I - Non-Derivative Se	curities Ac	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	•	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securiti TransactionAcquired ( Code Disposed of (Instr. 8) (Instr. 3, 4)  Code V Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/07/2018		A 335 (1)	A \$0	77,621 (2)	D			
Common Stock					5,424,092	I	See ftn (3)		
Common Stock					2,511,144	I	See ftn (4)		

See ftn (5)

See ftn (6)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exerc	cisable and	7. Title and A	Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration D	ate	Underlying S	Securities	I
Security	or Exercise		any	Code	Securities	(Month/Day/	Year)	(Instr. 3 and	4)	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired					(
	Derivative				(A) or					
	Security				Disposed of					
	·				(D)					
					(Instr. 3, 4,					
					and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
						2.1010154010	24.0		of	
				Code V	(A) (D)				Shares	
Stock								Common		
	\$ 223.93	03/07/2018		A	1,990	<u>(7)</u>	03/06/2028		1,990	
Options					•			Stock		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
CROWN JAMES S 222 N. LASALLE STREET STE 2000 CHICAGO, IL 60601	X					

## **Signatures**

/s/Angie Newhouse,
Attorney-in-Fact
03/09/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of restricted stock that vest in full three years after the grant date, subject to continued service conditions.
- (2) Includes 1,765 shares of restricted stock.

Owned by HCNI II LLC. The Reporting Person is a member of HCNI II LLC and is a manager of its sole manager, HCC Manager LLC.

The Reporting Person disclaims beneficial ownership of the shares of Common Stock held by HCNI II LLC except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of benefical ownership of all the reported shares for purposes of Section 16 or for any other purpose.

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- Owned by Areljay II LLC. The Reporting Person is a beneficiary of a trust that is a member of Areljay II LLC, and is a manager of its manager, HCC Manager LLC. The Reporting Person disclaims beneficial ownership of the shares of Common Stock held by Areljay II LLC except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all the reported shares for purposes of Section 16 or for any other purpose.
- Owned by a partnership, The Crown Fund, of which the Reporting Person is a partner. The Reporting Person disclaims beneficial ownership of the shares of Common Stock held by this entity except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all the reported shares for purposes of Section 16 for any other purpose.
- Owned by a partnership, Crown Fund II, of which the Reporting Person is a partner. The Reporting Person disclaims beneficial ownership of the shares of Common Stock held by this entity except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed and admission of beneficial ownership of all the reported shares for purposes of Section 16 or for any other purpose.
- (7) One half of the options becomes exercisable on March 7, 2020 and the remaining half becomes exercisable on March 7, 2021.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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