

JONES PAUL W  
Form 4  
February 02, 2012

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JONES PAUL W

2. Issuer Name and Ticker or Trading Symbol  
SMITH A O CORP [AOS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

(Last) (First) (Middle)

A. O. SMITH  
CORPORATION, 11270 WEST  
PARK PLACE

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/01/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(Street)

MILWAUKEE, WI 53224

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	02/01/2012		M	A	\$ 76,200	205,475	D
Common Stock	02/01/2012		M	A	\$ 54,131	259,606	D
Common Stock	02/01/2012		S	D	\$ 200	259,406	D
Common Stock	02/01/2012		S	D	\$ 100	259,306	D
Common Stock	02/01/2012		S	D	\$ 100	259,206	D

Edgar Filing: JONES PAUL W - Form 4

Common Stock	02/01/2012	S	100	D	\$ 42.72	259,106	D
Common Stock	02/01/2012	S	100	D	\$ 42.73	259,006	D
Common Stock	02/01/2012	S	100	D	\$ 42.75	258,906	D
Common Stock	02/01/2012	S	100	D	\$ 42.76	258,806	D
Common Stock	02/01/2012	S	100	D	\$ 42.77	258,706	D
Common Stock	02/01/2012	S	100	D	\$ 42.84	258,606	D
Common Stock	02/01/2012	S	100	D	\$ 42.85	258,506	D
Common Stock	02/01/2012	S	100	D	\$ 42.86	258,406	D
Common Stock	02/01/2012	S	100	D	\$ 42.94	258,306	D
Common Stock	02/01/2012	S	400	D	\$ 42.95	257,906	D
Common Stock	02/01/2012	S	100	D	\$ 42.955	257,806	D
Common Stock	02/01/2012	S	400	D	\$ 42.96	257,406	D
Common Stock	02/01/2012	S	301	D	\$ 42.97	257,105	D
Common Stock	02/01/2012	S	1,000	D	\$ 42.98	256,105	D
Common Stock	02/01/2012	S	2,800	D	\$ 42.99	253,305	D
Common Stock	02/01/2012	S	700	D	\$ 43.02	252,605	D
Common Stock	02/01/2012	S	200	D	\$ 43.04	252,405	D
Common Stock	02/01/2012	S	1,300	D	\$ 43.07	251,105	D
Common Stock	02/01/2012	S	300	D	\$ 43.09	250,805	D
Common Stock	02/01/2012	S	900	D	\$ 43.11	249,905	D
	02/01/2012	S	200	D	\$ 43.15	249,705	D

Edgar Filing: JONES PAUL W - Form 4

Common Stock							
Common Stock	02/01/2012	S	100	D	\$ 43.16	249,605	D
Common Stock	02/01/2012	S	100	D	\$ 43.17	249,505	D
Common Stock	02/01/2012	S	100	D	\$ 43.19	249,405	D
Common Stock	02/01/2012	S	900	D	\$ 43.21	248,505	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 25.837	02/01/2012		M	76,200 <sub>(1)</sub>	02/09/2008 02/09/2017	Common Stock	76,200	
Employee Stock Options (Right to Buy)	\$ 23.76	02/01/2012		M	54,131 <sub>(2)</sub>	02/11/2009 02/11/2018	Common Stock	54,131	

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

JONES PAUL W  
A. O. SMITH CORPORATION  
11270 WEST PARK PLACE  
MILWAUKEE, WI 53224

X

Chairman  
and CEO

## Signatures

James F. Stern, Attorney-in-Fact for Paul W.  
Jones

02/02/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The employee stock options were granted on 02/09/2007 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3.
- (2) The employee stock options were granted on 02/11/2008 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.