#### KEY TECHNOLOGY INC

Form 4

February 10, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * STONECIPHER CHARLES H |                                    |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol        |   |   |                    | g   | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |
|---|------------------------------------|------------|---|---|---|--------------------|---|--|--|---|--|
| (Last)  | (First)                            | (Middle)   | KEY TECHNOLOGY INC [KTEC] 3. Date of Earliest Transaction |   |   |                    | (Check all applicable)                    |  |  |   |  |
| C/O KEY TECHNOLOGY, 02/08/20<br>INC., 150 AVERY STREET          |                                    |            | h/Day/Year)<br>8/2017                                     |   |   |                    | _X_ Director<br>Officer (gives)<br>below) |  | 6 Owner<br>er (specify   |   |  |
| (Street) 4. If Am   |                                    |            | 4. If Amer  | f Amendment, Date Original              |   |                    |   | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| WALLA WALLA, WA 99362   |                                    |            |   | nth/Day/Year)                           |   |                    |   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person               |  |   |  |
| (City)  | (State)                            | (Zip)      | Table   | I - Non-D                               | erivative S                             | Securi             | ties Ac                                   | quired, Disposed   | of, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                            | 2. Transaction D<br>(Month/Day/Yea | ar) Execut | emed<br>ion Date, if<br>n/Day/Year)                       | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. SecurionAcquired Disposed (Instr. 3, | l (A) o<br>l of (D | ))  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Shares  | 02/08/2017                         |            |   | A                                       | 2,672<br>(1)                            | A                  | \$0                                       | 55,486   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: KEY TECHNOLOGY INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) |                                   |                     | ate             | 7. Title<br>Amoun<br>Under | int of<br>lying<br>ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene |
|---|---|---|---|---------------------------------------|-----------------------------------|---------------------|-----------------|----------------------------|--------------------------|--|---------------------------------|
|   | Derivative<br>Security                      |   |   |                                       | Securities<br>Acquired            |                     |                 | (Instr.                    | 3 and 4)                 |  | Owne<br>Follo                   |
|   |   |   |   |                                       | (A) or<br>Disposed                |                     |                 |                            |                          |  | Repo<br>Trans                   |
|   |   |   |   |                                       | of (D)<br>(Instr. 3,<br>4, and 5) |                     |                 |                            |                          |  | (Instr                          |
|   |   |   |   |                                       | 4, and 3)                         |                     |                 |                            | Amount                   |  |                                 |
|   |   |   |   |                                       |                                   | Date<br>Exercisable | Expiration Date | Title                      | or<br>Number<br>of       |  |                                 |
|   |   |   |   | Code V                                | (A) (D)                           |                     |                 |                            | Shares                   |  |                                 |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| . 9  | Director      | 10% Owner | Officer | Other |  |  |
| STONECIPHER CHARLES H<br>C/O KEY TECHNOLOGY, INC.<br>150 AVERY STREET<br>WALLA WALLA, WA 99362 | X             |           |         |       |  |  |

# **Signatures**

/s/ Charles H. Stonecipher by Ginger Petty, Attorney-in-Fact

02/10/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock award, the restrictions on which shall lapse as to 2,672 shares on February 8, 2018 subject to continued service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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