

OCAMPO JOHN L
Form 4
May 09, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OCAMPO JOHN L

2. Issuer Name and Ticker or Trading Symbol
MACOM Technology Solutions Holdings, Inc. [MTSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
05/07/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS, 100 CHELMSFORD STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LOWELL, MA 01851

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--|
| Common Stock | 05/07/2018 | | P | 5,000 | A 22.18 <u>(1)</u> | 5,000 | I | By John Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | 05/07/2018 | | P | 6,380 | A 21.98 <u>(3)</u> | 11,380 | I | By John Ocampo Charitable Remainder Trust <u>(2)</u> |

Edgar Filing: OCAMPO JOHN L - Form 4

| | | | | | | | | |
|--------------|------------|---|--------|---|---------------------------|-----------|---|---|
| Commom Stock | 05/07/2018 | P | 5,000 | A | \$ 22.18 <u>(1)</u> | 5,000 | I | By Susan Ocampo Charitable Remainder Trust <u>(2)</u> |
| Commom Stock | 05/07/2018 | P | 6,380 | A | \$ 21.98 <u>(3)</u> | 11,380 | I | By Susan Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | 05/08/2018 | P | 5,719 | A | \$ 21.96 <u>(4)</u> | 17,099 | I | By John Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | 05/08/2018 | P | 5,719 | A | \$ 21.96 <u>(4)</u> | 17,099 | I | By Susan Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | 05/09/2018 | P | 12,901 | A | \$ 21.93 <u>(5)</u> | 30,000 | I | By John Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | 05/09/2018 | P | 12,901 | A | \$ 21.93 <u>(5)</u> | 30,000 | I | By Susan Ocampo Charitable Remainder Trust <u>(2)</u> |
| Common Stock | | | | | | 9,708,142 | I | By Ocampo Family Trust-2001 <u>(2)</u> |
| Common Stock | | | | | | 3,273,043 | I | By trust for son <u>(2)</u> |
| Common Stock | | | | | | 3,273,043 | I | By trut for daughter <u>(2)</u> |
| Common Stock | | | | | | 3,273,043 | I | By trust for son <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------|-------|
| | Director | 10% Owner | Officer | Other |
| OCAMPO JOHN L C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS 100 CHELMSFORD STREET LOWELL, MA 01851 | X | X | Chairman | |
| OCAMPO SUSAN C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS 100 CHELMSFORD STREET LOWELL, MA 01851 | X | X | | |

Signatures

/s/ Clay Simpson, Attorney-in-Fact for John Ocampo and Susan Ocampo

05/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.94 to \$22.34. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

(2) The Reporting Persons are trustees of the John Ocampo Charitable Remainder Trust, the Susan Ocampo Charitable Remainder Trust, the Ocampo Family Trust - 2001 and the trusts for the benefit of their children.

(3) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.92 to \$22.00. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

Edgar Filing: OCAMPO JOHN L - Form 4

(4) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.85 to \$22.00. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

(5) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.70 to \$22.00. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.