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CARLSON DOUGLAS J

Form 3

October 19, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MACOM Technology Solutions Holdings, Inc. [MTSI] CARLSON DOUGLAS J (Month/Day/Year) 10/09/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MACOM TECHNOLOGY (Check all applicable) **SOLUTIONS** HOLDINGS. 100 10% Owner Director CHELMSFORD STREET _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group SVP & GM, RF & Microwave Filing(Check Applicable Line) _X_ Form filed by One Reporting Person LOWELL, Â MAÂ 01851 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) 14,672 (1) (2) Â Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and General Securities Underlying Conversion Ownership Ownership Derivative Security
3. Title and Amount of Securities Underlying Conversion Ownership Own

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(3)	08/14/2020	Common Stock	600	\$ 2	D	Â
Stock Option (Right to Buy)	(4)	11/13/2022	Common Stock	10,000	\$ 32.1	D	Â
Stock Option (Right to Buy)	(5)	11/09/2023	Common Stock	20,000	\$ 40.25	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships			
1	Director	10% Owner	Officer	Other	
CARLSON DOUGLAS J					
C/O MACOM TECHNOLOGY SOLUTIONS			CVD % CM DE %		
HOLDINGS	Â	Â	SVP & GM, RF & Microwave	Â	
100 CHELMSFORD STREET			Microwave		

Signatures

LOWELL, MAÂ 01851

/s/ Clay Simpson, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes (i) 4,000 restricted stock units ("RSUs") granted on March 14, 2017, which vest as follows: 1,000 RSUs vest on February 15, 2018, 1,000 RSUs vest on February 15, 2020 and 1,000 RSUs vest on February 15, 2021,
- provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (ii) 2,383 RSUs granted on March 17, 2016, which vest as follows: 794 RSUs vest on February 15, 2018, 794 RSUs vest on February 15, 2019 and 795 RSUs vest on February 15, 2020, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; [continued below]
 - (iii) 1,806 RSUs granted on March 23, 2015, which vest as follows: 903 RSUs vest on February 15, 2018 and 903 RSUs vest on vest on February 15, 2019, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; and (iv)
- (2) 875 RSUs granted on January 29, 2014, which vest on February 15, 2018, provided that the Reporting Person remains in continuous service with the Issuer through such vesting date. All RSUs were granted to the Reporting Person under the Issuer's 2012 Omnibus Incentive Plan and each RSU represents the contingent right to receive one share of Common Stock.
- (3) The Stock Option vested in full on August 1, 2014.
- (4) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$64.22 per share.
- (5) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$66.96 per share.

Reporting Owners 2

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Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.