

MACOM Technology Solutions Holdings, Inc.  
 Form 4  
 November 14, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Behfar Alex

(Last) (First) (Middle)

C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS, 100 CHELMSFORD STREET

(Street)

LOWELL, MA 01851

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 MACOM Technology Solutions Holdings, Inc. [MTSI]

3. Date of Earliest Transaction (Month/Day/Year)  
 11/09/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 SVP & Chief Scientist, Photonic

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |
| Common Stock                    | 11/09/2016                           |  | A                              |   | 3,242 <sup>(1)</sup> A \$ 0 22,376 <sup>(2)</sup>   | D  |                                   |
| Common Stock                    | 11/09/2016                           |  | A                              |   | 25,000 <sup>(3)</sup> A \$ 0 47,376   | D  |                                   |
| Common Stock                    | 11/09/2016                           |  | A                              |   | 25,000 <sup>(4)</sup> A \$ 0 72,376   | D  |                                   |
| Common Stock                    | 11/09/2016                           |  | A                              |   | 4,190 <sup>(5)</sup> A \$ 0 76,566  | D  |                                   |
|                                 | 11/09/2016                           |  | A                              |   | A \$ 0 80,686   | D  |                                   |

Common Stock 4,120  
(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 40.25   | 11/09/2016                           |  | A                              | 10,000  | (7) 11/08/2023   | Common Stock  | 10,000                        |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                 |       |
|---|---------------|-----------|---------------------------------|-------|
|   | Director      | 10% Owner | Officer                         | Other |
| Behfar Alex<br>C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS<br>100 CHELMSFORD STREET<br>LOWELL, MA 01851 |               |           | SVP & Chief Scientist, Photonic |       |

## Signatures

/s/ Clay Simpson, 11/14/2016  
Attorney-in-Fact

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

## Edgar Filing: MACOM Technology Solutions Holdings, Inc. - Form 4

Represents restricted stock units granted to the Reporting Person under the Issuer's 2012 Omnibus Incentive Plan. Each restricted stock unit represents the contingent right to receive one share of the Issuer's common stock. These restricted stock units vest in four equal annual installments on May 15, 2018, May 15, 2019, May 15, 2020 and May 15, 2021, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date.

- (2) The reported ownership includes 465 shares acquired under the Issuer's Employee Stock Purchase Plan in May 2016.
- (3) Restricted stock units, each representing the contingent right to receive one share of the Issuer's common stock. These restricted stock units vest 8,333, 8,333 and 8,334 shares each at November 15, 2017, November 15, 2018 and November 15, 2019.
- (4) Restricted stock units, each representing the contingent right to receive one share of the Issuer's common stock. These restricted stock units vest 12,500 shares each at November 15, 2020 and November 15, 2021.

Represents performance-based restricted stock units ("PRSUs") granted on April 22, 2015 to the Reporting Person under the Issuer's 2012 Omnibus Incentive Plan which vested on November 9, 2016. These PRSUs will settle in shares of Common Stock on May 15, 2017, provided that the Reporting Person remains in continuous service with the Issuer through the settlement date.

- (5) Represents performance-based restricted stock units ("PRSUs") granted on November 13, 2015 to the Reporting Person under the Issuer's 2012 Omnibus Incentive Plan which vested on November 9, 2016. These PRSUs will settle in shares of Common Stock on May 15, 2017, provided that the Reporting Person remains in continuous service with the Issuer through the settlement date.
- (6) The stock option vests in full upon achievement of a 30-day trailing average stock price based performance metric during the term of the stock option.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.