Mauro Anthony Form 4 March 05, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Mauro Anthony

(First) (Middle)

**BUILDING 4, TRIDENT PLACE, MOSQUITO WAY** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

Mylan N.V. [MYL]

3. Date of Earliest Transaction (Month/Day/Year)

03/01/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

Chief Commercial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Ordinary Shares	03/01/2019		D	67,512 (1)	D	\$ 0	89,554	D		
Ordinary Shares	03/02/2019		M	6,103	A	\$ 0	95,657	D		
Ordinary Shares	03/02/2019		F	2,654 (2)	D	\$ 27.45	93,003	D		
Ordinary Shares	03/03/2019		M	5,534	A	\$ 0	98,537	D		
Ordinary Shares	03/03/2019		F	2,407 (3)	D	\$ 27.45	96,130	D		

Ordinary Shares 5,574 I  $\frac{\text{By }401(\text{k})}{\text{Plan}}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Employee Stock Option - Right to Buy	\$ 27.45	03/01/2019		A	27,851		<u>(4)</u>	03/01/2029	Ordinary Shares	27,85
Restricted Stock Units	\$ 0	03/01/2019		A	46,631		<u>(5)</u>	<u>(5)</u>	Ordinary Shares	46,63
Restricted Stock Units	\$ 0	03/02/2019		M		6,103	<u>(6)</u>	<u>(6)</u>	Ordinary Shares	6,10
Restricted Stock Units	\$ 0	03/03/2019		M		5,534	<u>(7)</u>	<u>(7)</u>	Ordinary Shares	5,53

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Mauro Anthony

BUILDING 4, TRIDENT PLACE, MOSQUITO WAY HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

**Chief Commercial Officer** 

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## **Signatures**

/s/ Kevin Macikowski, by power of attorney

03/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents forfeiture of unvested restricted ordinary shares received under the One-Time Special Performance-Based Five-Year Realizable Value Incentive Program as a result of the applicable performance conditions not having been met.
- (2) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the restricted stock units (RSUs) granted on March 2, 2018.
- (3) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the RSUs granted on March 3, 2017.
- (4) 9,284 of the options will vest on March 2, 2020, 9,283 will vest on March 2, 2021, and 9,284 will vest on March 2, 2022. The options expire on March 1, 2029.
- (5) Each RSU represents the right to receive one ordinary share of Mylan N.V. 15,544 of the RSUs will vest on March 2, 2020, 15,543 will vest on March 2, 2021, and 15,544 will vest on March 2, 2022.
- (6) Each RSU represents the right to receive one ordinary share of Mylan N.V. 6,103 of the RSUs granted on March 2, 2018 vested on March 2, 2019, and 6,102 will vest on each of March 2, 2020 and March 2, 2021.
- (7) Each RSU represents the right to receive one ordinary share of Mylan N.V. 5,534 of the RSUs granted on March 3, 2017 vested on each of March 3, 2018 and March 3, 2019, and 5,533 will vest on March 3, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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