#### Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 4

#### HONEYWELL INTERNATIONAL INC

Form 4

October 31, 2018

Check this box

if no longer

subject to

Section 16.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HONEYWELL INTERNATIONAL **INC** 

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

RESIDEO TECHNOLOGIES, INC.

[REZI]

(Check all applicable)

below)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Director Officer (give title

X\_\_ 10% Owner \_X\_ Other (specify

115 TABOR ROAD

10/29/2018

Filed(Month/Day/Year)

See Footnote 2

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

below)

(Street)

MORRIS PLAINS, NJ 07950

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Month/Day/Year) (Instr. 3)

2. Transaction Date 2A. Deemed Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) or 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

Securities Beneficially Owned Following

7. Nature Ownership of Indirect Form: Direct (D) or Indirect

Beneficial Ownership (Instr. 4)

(A) or

Reported (I)Transaction(s)

(Instr. 4)

(Instr. 3 and 4) Price

Common

\$0.001

Stock, par 10/29/2018 value

V

Code

J(1)(2)

122,966,558 \$0 D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ite	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						J
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
							Expiration	Title	Number		
							Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
HONEYWELL INTERNATIONAL INC 115 TABOR ROAD MORRIS PLAINS, NJ 07950		X		See Footnote 2			

### **Signatures**

/s/ Richard E. Kent, Vice President, Deputy General Counsel, Finance and Assistant Secretary

10/31/2018

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On October 29, 2018, Honeywell International Inc. ("Honeywell") disposed of all 122,966,558 shares of Resideo Technologies, Inc., a Delaware corporation ("Resideo"), common stock, par value \$0.001 per share, (the "Resideo Common Stock"), issued and outstanding by
- (1) distributing a pro rata dividend to Honeywell's stockholders one share of Resideo Common Stock for every six shares of Honeywell common stock, par value \$1.00 per share held by each holder of record as of 5:00 p.m. New York City time on October 16, 2018, to effect the legal and structural separation of Resideo from Honeywell.
- (2) Because an employee of Honeywell was a director of Resideo, Honeywell was previously deemed to be a director by deputization.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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