

HOME BANCSHARES INC

Form 5

February 08, 2016

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
TIPTON JOHN STEPHEN

(Last) (First) (Middle)

P.O. BOX 966

(Street)

2. Issuer Name and Ticker or Trading  
SymbolHOME BANCSHARES INC  
[HOMB]3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/20154. If Amendment, Date Original  
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

(City) (State) (Zip)

☒ Form Filed by One Reporting Person☐ Form Filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock -<br>Restricted<br>Stock  | Â                                       | Â                                                           | Â                                    | Â Â Â                                                                      | 5,000 <u>(1)</u>                                                                                                | D                                                                       | Â                                                                 |
| Common<br>Stock -<br>Performance<br>Based | Â                                       | Â                                                           | Â                                    | Â Â Â                                                                      | 7,000 <u>(2)</u> <u>(3)</u>                                                                                     | D                                                                       | Â                                                                 |
|                                           | Â                                       | Â                                                           | Â                                    | Â Â Â                                                                      |                                                                                                                 | I                                                                       | By 401(k)                                                         |

6,245.111  
(4)

 $\hat{A}$  $\hat{A}$  $\hat{A}$  $\hat{A}$  $\hat{A}$  $\hat{A}$ 

1,000

D

 $\hat{A}$ SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------|---------------------------------------------------------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             |                                         | (A)                                                                                                                   | (D)                                                            | Date<br>Exercisable | Expiration<br>Date                                                  | Title           | Amount<br>or<br>Number<br>of Shares |
| Performance<br>Stock Option                         | \$ 36.91                                                              | Â                                       | Â                                                           | Â                                       | Â                                                                                                                     | Â                                                              | Â <u>(5)</u>        | 08/23/2025                                                          | Common<br>Stock | 20,000                              |
| Stock Option                                        | \$ 13.12                                                              | Â                                       | Â                                                           | Â                                       | Â                                                                                                                     | Â                                                              | Â <u>(6)</u>        | 01/19/2022                                                          | Common<br>Stock | 14,000                              |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                           |       |
|---------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                         | Director      | 10% Owner | Officer                   | Other |
| TIPTON JOHN STEPHEN<br>P.O. BOX 966<br>CONWAY, AR 72033 | Â             | Â         | Â Chief Operating Officer | Â     |

## Signatures

Date \_\_\_\_\_

### Explanation of Responses:

(1)

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Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.

- (2) The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (3) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (4) Includes 280.173 shares acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.
- (5) Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (6) The option became exercisable in five equal annual installments beginning on January 20, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.