Edgar Filing: Heritage Insurance Holdings, Inc. - Form 4

Heritage Insurance Holdings, Inc. Form 4 December 29, 2015

December 2	9, 2015											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549										OMB Number:	3235-0287	
Check the if no lon	ger	box									January 31, 2005	
subject to Section 1 Form 4 c	6. SIAIE	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).												
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> Garateix Ernie J				r Name a]	5. Relationship of Reporting Person(s) to Issuer			
		Heritage Insurance Holdings, Inc. [HRTG]						(Check all applicable)				
(Last) C/O HERIT							Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer					
	S, INC., 2600 ICK DRIVE SU	ITE 300								F	-	
	4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check					
CLEARWA	Filed(Mo	· · /					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	le I - Nor	1-De	erivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)			Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5)					(D)	Securities Beneficially Owned Following	OwnershipIrForm:BDirect (D)Cor Indirect(I	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V A	Amount	(A) or (D)	Price \$	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock	12/24/2015			М	8	3,000	А	22.0443	162,243	D		
Common Stock	12/24/2015			S	8	3,000	D	\$ 22.0443 (1)	154,243	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 16.89	12/24/2015		М	8,000	(2)	12/02/2017	Common Stock	8,000	

Reporting Owners

Reporting Owner Name / Address		Relationships							
		Director	10% Owner	Officer	Other				
Garateix Ernie J C/O HERITAGE INSURANCE HOLDING 2600 MCCORMICK DRIVE SUITE 300 CLEARWATER, FL 33759	S, INC.			Chief Operating Officer					
Signatures									
/s/ Bruce Lucas, by Power of Attorney	12/29/20	15							

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this(1) line is between \$22.00 and \$22.13 per share. Complete information regarding the number of shares sold at each separate price will be provided upon request by the Commission Staff, the issuer or a security holder of the issuer.

(2) Fifty percent (50%) of the stock options reported on this line were immediately vested on the grant date, and the remaining fifty percent (50%) vested on April 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.