

Catalent, Inc.

Form 3

October 15, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

JOSEPH WETTENY

(Last) (First) (Middle)

C/O CATALENT, INC., 14
SCHOOLHOUSE ROAD

(Street)

SOMERSET, NJ 08873

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/13/2015

3. Issuer Name and Ticker or Trading Symbol
Catalent, Inc. [CTLT]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

See Remarks

6. Individual or Joint/Group
Filing (Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person1. Title of Security
(Instr. 4)**Table I - Non-Derivative Securities Beneficially Owned**2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

5,383 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Options to purchase common stock	Â (2)	10/23/2019	Common Stock	8,120	\$ 10.7143	D	Â
Options to purchase common stock	Â (3)	10/23/2019	Common Stock	8,120	\$ 10.7143	D	Â
Options to purchase common stock	Â (4)	06/25/2023	Common Stock	12,460	\$ 18.7143	D	Â
Options to purchase common stock	Â (5)	06/25/2023	Common Stock	2,492	\$ 18.7143	D	Â
Options to purchase common stock	Â (6)	07/30/2024	Common Stock	10,849	\$ 20.5	D	Â
Options to purchase common stock	Â (7)	08/27/2025	Common Stock	6,515	\$ 31.96	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JOSEPH WETTENY C/O CATALENT, INC. 14 SCHOOLHOUSE ROAD SOMERSET,Â NJÂ 08873	Â	Â	Â See Remarks	Â

Signatures

/s/ Steven Fasman, by power of attorney

10/15/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes restricted stock units.

(2) On October 23, 2009, the reporting person was granted an option to purchase 8,120 shares of common stock of the Issuer, which vested and became exercisable in five equal annual installments beginning on October 23, 2010.

(3) On October 23, 2009, the reporting person was granted an option to purchase 8,120 shares of common stock of the Issuer, which vested and became exercisable in five equal annual installments beginning on October 23, 2010.

(4) On June 25, 2013, the reporting person was granted an option to purchase 12,460 shares of common stock of the Issuer, which vest and become exercisable in five equal annual installments beginning on June 23, 2014.

(5) On June 25, 2013, the reporting person was granted an option to purchase 12,460 shares of common stock of the Issuer which vest and become exercisable in five equal annual installments based on satisfaction of certain performance criteria for each of the fiscal years ending 2014, 2015, 2016, 2017 and 2018. The performance criteria for 2014 and 2015 were met, resulting in vesting of the option as to 4,984 shares. The option is currently exercisable for 2,492 shares.

(6) On July 30, 2014, the reporting person was granted an option to purchase 10,849 shares of common stock of the Issuer, which vest and become exercisable in four equal annual installments beginning on July 30, 2015.

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- (7) On August 27, 2015, the reporting person was granted an option to purchase 6,515 shares of common stock of the Issuer, which vest and become exercisable in four equal annual installments beginning on August 27, 2016.

Â

Remarks:

PresidentÂ -Â ClinicalÂ SupplyÂ Services

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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