

SAFEGUARD SCIENTIFICS INC
Form S-8 POS
October 28, 2016

As filed with the Securities and Exchange Commission on October 28, 2016

Registration No. 333-171225

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

POST-EFFECTIVE AMENDMENT NO. 1 TO
FORM S-8
REGISTRATION STATEMENT
UNDER
THE SECURITIES ACT OF 1933

Safeguard Scientifics, Inc.
(Exact Name of Registrant as Specified in Its Charter)

Pennsylvania
(State or Other Jurisdiction of 23-1609753
Incorporation or Organization) (I.R.S Employer Identification No.)

170 North Radnor-Chester Road, Suite 200
Radnor, PA 19087
(Address of Principal Executive Offices, including Zip Code)

Employment Inducement Awards
Consisting of Non-Qualified Stock Options
Granted to Stephen T. Zarrilli
(Full Title of the Plan)

Brian J. Sisko
Chief Operating Officer, Executive Vice President and Managing
Director
Safeguard Scientifics, Inc.
170 North Radnor-Chester Road, Suite 200
Radnor, PA 19087
(610) 293-0600
(Name, Address and Telephone Number, including Area Code, of
Agent for Service)

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Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definition of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer ☐ Accelerated filer ☒ Non-accelerated filer ☐ Smaller reporting company ☐

(Do not check if a smaller reporting company)

DEREGISTRATION OF SECURITIES

This Post-Effective Amendment No. 1 to the Form S-8 Registration Statement (Registration No. 333-171225) is being filed to deregister 135,834 shares of Common Stock that were subject to stock options issued as employment inducement grants to Stephen T. Zarrilli on June 30, 2008. These stock options have expired unexercised, and the shares have not been issued and are no longer subject to outstanding awards.

SIGNATURES

Pursuant to the requirements of the Securities Act of 1933, the Registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-8 and has duly caused this registration statement to be signed on its behalf by the undersigned, thereunto duly authorized, in Radnor, PA on October 28, 2016.

SAFEGUARD SCIENTIFICS, INC.

By: /s/ Stephen T. Zarrilli
Stephen T. Zarrilli
President and Chief Executive Officer

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Pursuant to the requirements of the Securities Act of 1933, this Post-Effective Amendment No. 1 to the Registration Statement has been signed by the following persons in the capacities and on the dates indicated on October 28, 2016.

Signature	Title
/s/ Stephen T. Zarrilli Stephen T. Zarrilli	President, Chief Executive Officer and Director (Principal Executive Officer)
/s/ Jeffrey B. McGroarty Jeffrey B. McGroarty	Senior Vice President and Chief Financial Officer (Principal Financial and Accounting Officer)
/s/ Mara G. Aspinall Mara G. Aspinall	Director
/s/ Julie A. Dobson Julie A. Dobson	Director
/s/ Stephen Fisher Stephen Fisher	Director
/s/ George MacKenzie George MacKenzie	Director
/s/ John J. Roberts John J. Roberts	Director
/s/ Robert J. Rosenthal Robert J. Rosenthal	Chairman of the Board