#### **BRODIE HOWARD W**

Form 4

March 20, 2006

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires:

2005 Estimated average

burden hours per

response... 0.5

> 10% Owner Other (specify

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* **BRODIE HOWARD W** 

(First)

(Middle)

C/O EMCORE CORPORATION, 145 BELMONT **DRIVE** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

EMCORE CORP [EMKR]

3. Date of Earliest Transaction (Month/Day/Year) 03/16/2006

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

below)

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

Chief Legal Officer

SOMERSET, NJ 08873

(City)	(State) (Z	Zip) Table	I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/16/2006		M	20,000	A	\$ 7.9	20,000	D	
Common Stock	03/16/2006		S	20,000	D	\$ 9.62	0	D	
Common Stock	03/16/2006		M	22,500	A	\$ 7.9	22,500	D	
Common Stock	03/16/2006		S	22,500	D	\$ 9.65	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: BRODIE HOWARD W - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.9	03/16/2006		M	42,500	<u>(1)</u>	10/03/2011	Common Stock	42,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRODIE HOWARD W C/O EMCORE CORPORATION 145 BELMONT DRIVE SOMERSET, NJ 08873

Chief Legal Officer

### **Signatures**

/s Howard 03/20/2006 Brodie

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option vested in four equal installments beginning on 10/3/2002
- (2) Stock Option Grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2