

EMC CORP  
Form 4  
September 08, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DACIER PAUL T

(Last) (First) (Middle)

EMC CORPORATION, 176 SOUTH STREET

(Street)

HOPKINTON, MA 01748

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
EMC CORP [EMC]

3. Date of Earliest Transaction (Month/Day/Year)  
09/06/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP & General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	09/06/2016		M		26,000 (1) \$ 19.11	A	480,699 D
Common Stock	09/06/2016		F		21,378 (2) \$ 28.77	D	459,321 D
Common Stock	09/06/2016		M		38,169 (1) \$ 19.11	A	497,490 D
Common Stock	09/06/2016		F		31,383 (2) \$ 28.77	D	466,107 D
Common Stock	09/06/2016		M		28,000 (1) \$ 15.18	A	494,107 D

Edgar Filing: EMC CORP - Form 4

Common Stock	09/06/2016	F	20,997 <u>(2)</u>	D	\$ 28.77	473,110	D	
Common Stock	09/06/2016	M	24,000 <u>(1)</u>	A	\$ 15.31	497,110	D	
Common Stock	09/06/2016	F	18,055 <u>(2)</u>	D	\$ 28.77	479,055	D	
Common Stock	09/06/2016	M	36,000 <u>(1)</u>	A	\$ 15.31	515,055	D	
Common Stock	09/06/2016	F	27,082 <u>(2)</u>	D	\$ 28.77	487,973	D	
Common Stock	09/06/2016	M	19,873 <u>(1)</u>	A	\$ 20.47	507,846	D	
Common Stock	09/06/2016	F	16,838 <u>(2)</u>	D	\$ 28.77	491,008	D	
Common Stock	09/06/2016	M	29,809 <u>(1)</u>	A	\$ 20.47	520,817	D	
Common Stock	09/06/2016	F	25,256 <u>(2)</u>	D	\$ 28.77	495,561	D	
Common Stock	09/06/2016	M	15,977 <u>(1)</u>	A	\$ 25.04	511,538	D	
Common Stock	09/06/2016	F	14,881 <u>(2)</u>	D	\$ 28.77	496,657	D	
Common Stock	09/06/2016	M	22,967 <u>(1)</u>	A	\$ 25.04	519,624	D	
Common Stock	09/06/2016	F	21,391 <u>(2)</u>	D	\$ 28.77	498,233	D	
Common Stock	09/06/2016	M	23,236 <u>(1)</u>	A	\$ 26.8	521,469	D	
Common Stock	09/06/2016	F	22,394 <u>(2)</u>	D	\$ 28.77	499,075	D	
Common Stock	09/06/2016	M	17,640 <u>(1)</u>	A	\$ 26.8	516,715	D	
Common Stock	09/06/2016	F	17,001 <u>(2)</u>	D	\$ 28.77	499,714	D	
Common Stock	09/06/2016	F	121,240 <u>(3)</u>	D	\$ 0	378,474	D	
Common Stock	09/07/2016	D	378,474	D	\$ 0 <u>(4)</u>	0	D	
Common Stock	09/07/2016	D	24,000	D	\$ 0 <u>(4)</u>	0	I	By Spouse

Edgar Filing: EMC CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Stock Option Right To Buy	\$ 19.11	09/06/2016		M	26,000	11/28/2008 <sup>(5)</sup> 11/28/2017	Common Stock	26,000
Stock Option Right To Buy	\$ 19.11	09/06/2016		M	38,169	11/28/2008 <sup>(5)</sup> 11/28/2017	Common Stock	38,169
Stock Option Right To Buy	\$ 15.18	09/06/2016		M	28,000	08/20/2009 <sup>(5)</sup> 08/20/2018	Common Stock	28,000
Stock Option Right To Buy	\$ 15.31	09/06/2016		M	24,000	08/04/2010 <sup>(5)</sup> 08/04/2019	Common Stock	24,000
Stock Option Right To Buy	\$ 15.31	09/06/2016		M	36,000	08/04/2010 <sup>(5)</sup> 08/04/2019	Common Stock	36,000
Stock Option Right To Buy	\$ 20.47	09/06/2016		M	19,873	08/03/2011 <sup>(5)</sup> 08/03/2020	Common Stock	19,873
Stock Option Right To Buy	\$ 20.47	09/06/2016		M	29,809	08/03/2011 <sup>(5)</sup> 08/03/2020	Common Stock	29,809



## Edgar Filing: EMC CORP - Form 4

- (6) In connection with the Merger, 5,809 of these stock options were accelerated and became fully vested and exercisable on August 17, 2016.
- (7) In connection with the Merger, 3,528 of these stock options were accelerated and became fully vested and exercisable on August 17, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.