**EMC CORP** Form 4 August 10, 2012

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **BURTON JEREMY** 

2. Issuer Name and Ticker or Trading Symbol

EMC CORP [EMC]

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Month/Day/Year)

EMC CORPORATION, 176 SOUTH 08/08/2012 STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below)

**EVP & Chief Marketing Officer** 

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### HOPKINTON, MA 01748

| (City)                               | (State) (Z                              | Zip) Table | e I - Non-D | erivative S   | ecurit           | ies Acq  | quired, Disposed o   | of, or Beneficial                                     | ly Owned |
|--------------------------------------|---|------------|-------------|---------------|------------------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) |            |             | •             |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |          |
|                                      |   |            | Code V      | Amount        | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |          |
| Common<br>Stock                      | 08/08/2012                              |            | A           | 49,478<br>(1) | A                | \$0  | 424,285  | D   |          |
| Common<br>Stock                      | 08/08/2012                              |            | A           | 32,986<br>(2) | A                | \$0  | 457,271  | D   |          |
| Common<br>Stock                      | 08/08/2012                              |            | A           | 74,627<br>(3) | A                | \$0  | 531,898  | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 3 ( |
|---|---|---|---|---|--|--|--------------------|---|-------------------------------------|-----|
|   |   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |     |
| Stock<br>Option<br>Right To<br>Buy                  | \$ 26.8   | 08/08/2012                              |   | A                                       | 26,459   | <u>(4)</u>   | 08/08/2022         | Common<br>Stock   | 26,459                              |     |
| Stock<br>Option<br>Right To<br>Buy                  | \$ 26.8   | 08/08/2012                              |   | A                                       | 17,640   | <u>(5)</u>   | 08/08/2022         | Common<br>Stock   | 17,640                              |     |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |             |       |  |  |  |  |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer     | Other |  |  |  |  |
| BURTON JEREMY                  |               |           | EVD % Chief |       |  |  |  |  |
| EMC CORPORATION                |               |           | EVP & Chief |       |  |  |  |  |
| 176 SOUTH STREET               |               |           | Marketing   |       |  |  |  |  |
| HOPKINTON, MA 01748            |               |           | Officer     |       |  |  |  |  |

### **Signatures**

/s/Barbara E. Coluci, Attorney
In Fact

08/10/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock units granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which are subject to forfeiture upon certain events. The restricted stock units will vest only in the event that 2013 performance targets approved by the Board of Directors are met. If such targets are met, one-third of the restricted stock units will vest in 2014, one-third will vest on February 1, 2015 and one-third will vest on February 1, 2016.
- (2) Restricted stock units granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which are subject to forfeiture upon certain events. The restricted stock units will vest ratably over four years beginning one year from the date of grant.

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- Performance restricted stock units granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which are subject to forfeiture upon certain events. The performance restricted stock units will vest only in the event that a three-year cumulative performance
- (3) target approved by the Leadership and Compensation Committee (the "Committee") has been met. The Committee expects to determine whether the performance target has been met, in full or in part, in 2016. If such target is met, one-half of the performance restricted stock units will vest in 2016 and one-half will vest on February 1, 2017.
- Options granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which are subject to certain restrictions on transfer and are subject to forfeiture upon certain events. The options will vest only in the event that 2013 performance targets approved by the Board of Directors are met. If such targets are met, one-quarter of the options will vest in 2014, one-quarter will vest on February 1, 2015, one-quarter will vest on February 1, 2016 and one-quarter will vest on February 1, 2017.
- Options granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which are subject to certain restrictions on transfer and are subject to forfeiture upon certain events. The options will vest ratably over five years beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.