SPURR JOHN H JR

Form 4 April 30, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number: January 31, Expires:

2005

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SPURR JOHN H JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

INDEPENDENT BANK CORP

(Check all applicable)

[INDB]

(Middle)

(Last) (First) 3. Date of Earliest Transaction

_X__ Director Officer (give title

10% Owner Other (specify

C/O INDEPENDENT BANK CORP., 288 UNION STREET

> (Street) 4. If Amendment, Date Original

> > Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

04/30/2018

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

ROCKLAND, MA 02370

| (City) | (State) | (Zip) Tab | ole I - Non-I | Derivative Securities | Acquired, Dispose | d of, or Benefi | cially Owned |
|--------------------------------------|---|---|---|--|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 04/30/2018 | | Code V G V | Amount (D) Price 1,780 D \$ 0 | 11,798 | I | by Trust (1) |
| Common Stock | | | | | 18,983.4117 | D | |
| Common Stock | | | | | 100,000 | I | by Corporation |
| Common Stock | | | | | 4,830 | I | by Elizabeth P. Spurr Trust (3) |

Common Stock 2,704 I K. Spurr Trust $\underline{^{(4)}}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. tionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | S | ate | Amou Under Secur | le and unt of rlying rities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secun Bene Owne Follo Repo Trans (Instr |
|---|---|--------------------------------------|--------------------------------------|--|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | / (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Relationships

SPURR JOHN H JR C/O INDEPENDENT BANK CORP. 288 UNION STREET ROCKLAND, MA 02370

X

Signatures

/s/ Maureen Gaffney, Power of Attorney for John H.
Spurr Jr.
04/30/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in name of John H. Spurr, Jr. Trust on which the Filer is a Trustee and Life Beneficiary.
- (2) Shares held i/n/o A. W. Perry Security Corporation. Filer is Vice Chairman of this Corporation. The filing of this statement should not be construed as an admission that the undersigned is, for purposes of Section 16 of the Securities Exchange Act, the beneficial owner of such

Reporting Owners 2

Edgar Filing: SPURR JOHN H JR - Form 4

securities.

- (3) Trust shares represented by: 2,415 shares held i/n/o Elizabeth P. Spurr 1972 Trust f/b/o Filer, who is co-trustee and income beneficiary of Trust; 2,415 shares held i/n/o Elizabeth P. Spurr 1972 Trust f/b/o Filer's sister. Filer is co-trustee of trust.
- Shares held i/n/o of Kay Spurr Trust, over which the Filer may be deemed to possess voting or investment control. The filing of this (4) statement should not be construed as an admission that the undersigned is, for purposes of the Exchange Act, the beneficial owner of such securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.