Edgar Filing: APPLERA CORP - Form 3

APPLERA CORP Form 3

January 29, 2007

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Â Stevenson Mark

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/18/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

APPLERA CORP [ABI/CRA]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

APPLERA CORP - APPLIED **BIOSYSTEMS GROUP, Â 850** LINCOLN CENTRE DRIVE

(Street)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

FOSTER CITY, Â CAÂ 94404

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form:

Direct (D) or Indirect

(I) (Instr. 5)

Applied Biosystems Group Common Stock 0

Celera Group Common Stock

1.542

Â D

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date**

3. Title and Amount of Securities Underlying

Conversion Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise

Edgar Filing: APPLERA CORP - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Applied Biosystems Group Employee Stock Options-Right to Buy	04/13/2004	04/13/2010	Applied Biosystems Group Common Stock	10,000	\$ 81	D	Â
Applied Biosystems Group Employee Stock Options-Right to Buy	(1)	03/24/2013	Applied Biosystems Group Common Stock	2,875	\$ 15.54	D	Â
Applied Biosystems Group Employee Stock Options-Right to Buy	(2)	03/24/2013	Applied Biosystems Group Common Stock	5,000	\$ 15.54	D	Â
Applied Biosystems Group Employee Stock Options-Right to Buy	(3)	06/17/2014	Applied Biosystems Group Common Stock	7,500	\$ 20.185	D	Â
Applied Biosystems Group Employee Stock Options-Right to Buy	06/02/2005(4)	06/02/2015	Applied Biosystems Group Common Stock	45,000	\$ 21.475	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
Stevenson Mark APPLERA CORP - APPLIED BIOSYSTEMS GROUP 850 LINCOLN CENTRE DRIVE FOSTER CITY, CA 94404	Â	Â	Vice President	Â		
Signatures						
/s/ Thomas P. Livingston, Attorney-In-Fact for Mark Stevenson	01/29/2007					
**Signature of Reporting Person		Date				

Reporting Owners 2

Edgar Filing: APPLERA CORP - Form 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These options originally became exercisable in four equal annual installments, commencing March 24, 2004. Effective January 20, 2005, the vesting of all of these options was accelerated. However, shares received upon the exercise of accelerated options are subject to a restriction on transfer (covering sales, gifts, pledges, and any other method of disposition) that will remain in effect until the earlier of the original vesting date of those options or termination of employment.
- As originally granted, these options would have become exercisable on March 24, 2008. Effective June 2, 2005, the vesting of all of these options was accelerated. However, shares received upon the exercise of accelerated options are subject to a restriction on transfer (covering sales, gifts, pledges, and any other method of disposition) that will remain in effect until the earlier of the vesting date of those options, i.e. March 24, 2008, or termination of employment.
- These options originally became exercisable in four equal annual installments, commencing June 17, 2005. Effective January 20, 2005, the vesting of all of these options was accelerated. However, shares received upon the exercise of accelerated options are subject to a restriction on transfer (covering sales, gifts, pledges, and any other method of disposition) that will remain in effect until the earlier of the original vesting date of those options or termination of employment.
- These options are fully vested and exercisable as of June 2, 2005, the grant date. However, shares acquired upon the exercise of these options are subject to a restriction on transfer (covering sales, gifts, pledges, and any other method of disposition). The transfer restriction will lapse on 25% of the shares covered by the grant on each of the first four anniversaries of the grant date. Also, the transfer restriction will lapse in full upon termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.