Edgar Filing: PARKER HANNIFIN CORP - Form 3

PARKER HANNIFIN CORP

Form 3

January 07, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Hart Mark J

(Last)

(First)

Statement

(Month/Day/Year)

01/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PARKER HANNIFIN CORP [PH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

PARKER-HANNIFIN CORPORATION, Â 6035 PARKLAND BLVD.

(Street)

Director

(give title below) (specify below)

EVP - HR & External Affairs

_X__ Officer

(Check all applicable)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CLEVELAND. OHÂ 44124

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(Middle)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

> (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

Form:

(Instr. 5) Direct (D) or Indirect

Â

Common Stock

Common Stock

951

180.226

D

Parker Retirement Savings Plan

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Ι

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

4. Conversion or Exercise

Ownership

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Form of

Edgar Filing: PARKER HANNIFIN CORP - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	(1)	(1)	Common Stock	114.583	\$ <u>(1)</u>	I	Parker-Hannifin Corporation Savings Restoration Plan
Stock Appreciation Right	(2)	08/14/2022	Common Stock	2,330	\$ 81.86	D	Â
Stock Appreciation Right	(3)	08/13/2023	Common Stock	3,870	\$ 106.18	D	Â
Stock Appreciation Right	(4)	08/12/2024	Common Stock	2,920	\$ 113.19	D	Â
Stock Appreciation Right	(5)	08/11/2025	Common Stock	2,800	\$ 113.23	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Hart Mark J						
PARKER-HANNIFIN CORPORATION 6035 PARKLAND BLVD.	Â	Â	EVP - HR & External Affairs	Â		
CLEVELAND. OH 44124						

Signatures

Rhoda M. Minichillo,
Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock is the economic equivalent of one share of Parker common stock. The shares of phantom stock will be settled in cash upon termination of his employment, whether voluntary or involuntary, with Parker.
- (2) The SAR vested in three equal annual installments beginning 8/15/2013.
- (3) The SAR vests in three equal annual installments beginning 8/14/2014.
- (4) The SAR vests in three equal annual installments beginning 8/13/2015.
- (5) The SAR vests in three equal annual installments beginning 8/12/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2