

NORDSTROM INC

Form 3

November 26, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

MIDDLEMAS ROBERT J

(Last) (First) (Middle)

C/O NORDSTROM,
INC., 1617 SIXTH AVENUE

(Street)

SEATTLE, WA 98101

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/20/2013

3. Issuer Name and Ticker or Trading Symbol
NORDSTROM INC [JWN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Executive Vice President

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

15,410

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: NORDSTROM INC - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â <u>(1)</u>	03/01/2017	Common Stock	9,772	\$ 53.63	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	02/28/2018	Common Stock	13,179	\$ 38.02	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	02/27/2019	Common Stock	20,000	\$ 13.47	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	02/26/2020	Common Stock	14,807	\$ 36.94	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	02/25/2021	Common Stock	15,006	\$ 45.49	D	Â
Employee Stock Option (right to buy)	Â <u>(6)</u>	02/22/2022	Common Stock	14,706	\$ 52.63	D	Â
Employee Stock Option (right to buy)	Â <u>(7)</u>	03/04/2023	Common Stock	16,418	\$ 53.82	D	Â
Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	2,664.81	\$ <u>(9)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MIDDLEMAS ROBERT J C/O NORDSTROM, INC. 1617 SIXTH AVENUE SEATTLE, WA 98101	Â	Â	Â Executive Vice President	Â

Signatures

Paula McGee, Attorney-in-Fact for Robert J. Middlemas 11/26/2013

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested and became exercisable in four equal annual installments commencing 3/1/2008.
- (2) The option vested and became exercisable in four equal annual installments commencing 2/28/2009.
- (3) The option vested and became exercisable in four equal annual installments commencing 2/27/2010.
- (4) The option is exercisable in four equal annual installments commencing on 2/26/2011.
- (5) The option is exercisable in four equal annual installments commencing on 2/25/2012.
- (6) The option is exercisable in four equal annual installments commencing on 2/22/2013.

Edgar Filing: NORDSTROM INC - Form 3

- (7) The option is exercisable in four equal annual installments commencing on 3/4/2014.
- (8) The stock units are convertible into issuer's common stock and payable upon the occurrence of certain events, including the reporting person's retirement from the issuer.
- (9) Stock unit dividend paid on performance share units that were deferred at the election of the reporting person under the Executive Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.