

CARDINAL HEALTH INC

Form 4

November 09, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stephen Falk T

(Last) (First) (Middle)

7000 CARDINAL PLACE

(Street)

DUBLIN, OH 43017

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CARDINAL HEALTH INC [CAH]

3. Date of Earliest Transaction
(Month/Day/Year)

11/06/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify below)

EVP, Gen. Counsel & Corp. Sec.

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	08/28/2015		G	V 75	D \$ 0	33,633	D
Common Shares	11/06/2015		M	9,138 A	\$ 39.81	42,771	D
Common Shares	11/06/2015		M	14,910 A	\$ 51.49	57,681	D
Common Shares	11/06/2015		M	6,037 A	\$ 71.43	63,718	D
Common Shares	11/06/2015		S	30,085 D	\$ 87.66 (1)	33,633	D

Edgar Filing: CARDINAL HEALTH INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.81	11/06/2015		M		9,138		<u>(2)</u>	08/15/2022	Common Shares	9,138
Employee Stock Option (right to buy)	\$ 51.49	11/06/2015		M		14,910		<u>(3)</u>	08/15/2023	Common Shares	14,910
Employee Stock Option (right to buy)	\$ 71.43	11/06/2015		M		6,037		<u>(4)</u>	08/15/2024	Common Shares	6,037

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Stephen Falk T
7000 CARDINAL PLACE
DUBLIN, OH 43017

EVP, Gen. Counsel & Corp. Sec.

Signatures

/s/ Elaine S. Natsis,
Attorney-in-fact

11/09/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$87.43 to \$87.84, inclusive. The reporting person undertakes to provide to Cardinal Health, Inc., any security holder of Cardinal Health, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 1 to this Form 4.
- (2) The option, representing a right to purchase a total of 27,412 shares, vested and became exercisable in three equal annual installments beginning on August 15, 2013.
- (3) The option, representing a right to purchase a total of 22,365 shares, vested and became exercisable 33% on August 15, 2014 and 33% on August 15, 2015. The remaining 33% vests on August 15, 2016.
- (4) The option, representing a right to purchase a total of 18,112 shares, vested and became exercisable 33% on August 15, 2015. The remaining portion of the option vests 33% on August 15, 2016 and 33% on August 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.