

NEWPARK RESOURCES INC
Form 4
June 02, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Piontek Gregg

2. Issuer Name and Ticker or Trading Symbol
NEWPARK RESOURCES INC
[NR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
9320 LAKESIDE
BOULEVARD, SUITE 100

3. Date of Earliest Transaction (Month/Day/Year)
06/01/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President and CFO

(Street)
THE WOODLANDS, TX 77381

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 06/01/2017 | | F | 2,699 (1) D \$ 7.5356 | 108,521 | D | |
| Common Stock | 06/01/2017 | | M | 12,162 A \$ 0 | 120,683 | D | |
| Common Stock | 06/01/2017 | | M | 22,308 A \$ 0 | 142,991 | D | |
| Common Stock | 06/01/2017 | | F | 6,101 (1) D \$ 7.5356 | 136,890 | D | |
| Common Stock | 06/01/2017 | | F | 3,326 (1) D \$ 7.5356 | 133,564 | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Restricted Stock Units | \$ 0 ⁽²⁾ | 06/01/2017 | | M | 12,162 | ⁽³⁾ ⁽³⁾ | Common Stock | 12,162 |
| Restricted Stock Units | \$ 0 ⁽²⁾ | 06/01/2017 | | M | 22,308 | ⁽³⁾ ⁽³⁾ | Common Stock | 22,308 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Piontek Gregg 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381 | | | Vice President and CFO | |

Signatures

By: Jennifer F. Wilson For: Gregg S. Piontek 06/02/2017

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon the vesting of restricted shares.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) The restricted stock units vest in increments of one-third of the shares on June 1 of each year.

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