#### NEWPARK RESOURCES INC

Form 4 June 07, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Piontek Gregg

2. Issuer Name and Ticker or Trading

Symbol

NEWPARK RESOURCES INC

[NR]

(Middle)

(Zip)

(Last)

3. Date of Earliest Transaction

(Month/Day/Year)

2700 RESEARCH FOREST DRIVE 06/06/2013

(Street)

(State)

(First)

SUITE 100

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

X\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

below)

VP, CFO, PAO

(Check all applicable)

10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

THE WOODLANDS, TX 77381

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V (D) Price Amount

(A)

or

A

Common 06/06/2013 Stock

26,895 A (1)

\$0 137,853

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: NEWPARK RESOURCES INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 11.43	06/06/2013		A	28,336	(2)	06/06/2023	Common Stock	28,3
Performance Shares	\$ 0	06/06/2013		A	17,584	<u>(3)</u>	(3)	Common Stock	17,5

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Piontek Gregg 2700 RESEARCH FOREST DRIVE SUITE 100 THE WOODLANDS, TX 77381

VP, CFO, PAO

### **Signatures**

By: Jennifer F Wilson For: Gregg S
Piontek

06/07/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The award will vest at a rate of one-third of the shares on June 9 of each year.
- (2) The options become exercisable in increments of one-third of the shares on June 9 of each year.
- Performance restricted stock units which will vest in three years upon the Company's achievement of certain levels of total shareholder (3) return (TSR) relative to a pre-determined industry peer group. The total in Column 5 is equal to the maximum number of shares that may be earned under this award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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