

Edgar Filing: BANKS BRITT D - Form 5

BANKS BRITT D

Form 5

February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported

() Form 4 Transactions Reported

1. Name and Address of Reporting Person

BANKS, BRITT D

1700 LINCOLN STREET

DENVER, CO 80203

USA

2. Issuer Name and Ticker or Trading Symbol

NEWMONT MINING CORP

NEM

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12-31-2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

VICE PRESIDENT

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|---|
| | | | Amount A/ D | Price |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Put or Call or Both |
|---------------------------------|--|---------------------|---------|--|---|--|------------------------|
| | | | | Amount | A/ D | Title and Number of Shares | |

| | | | | | | | | | |
|-----------------------|---------|----------|---|---|---|-----|----------|--------------|-------|
| Option (right to buy) | \$23.67 | 11/12/01 | A | A | A | (1) | 11/12/11 | Common Stock | 6,250 |
|-----------------------|---------|----------|---|---|---|-----|----------|--------------|-------|

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Explanation of Responses:

(1) The option vests in four equal installments beginning on November 12, 2002.

SIGNATURE OF REPORTING PERSON

Britt D. Banks

DATE

February 14, 2002