# Edgar Filing: HUMANA INC - Form 144

HUMANA INC Form 144 August 28, 2013

UNITED STATES						OMB APPROVAL			
SECURITIES AND EXCHANGE COMMISSION									
Washington, D.C. 20549						3235-0101			
		FORM 144			Expires:	February 28,			
						•			
	NOTICE OF P	ROPOSED SALI	E OF SECURI	TIES	Estimated a	verage burden			
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933						hours per			
						1.00			
ATTENTIO	N: Transmit for fi	ling 3 copies of th	nis form concu	rrently with either		SEC USE ONLY			
placing an or	rder with a broker to			•		DOCUMENT SEQUENCE			
	sale directly with a m				NO.	_			
C	Ž				CUSIP NUI	MBER			
1 (a) NAM	E OF ISSUER (Pleas	se type or print)	(b)	(b) IRS IDENT. (c) S.I		E.CWORK LOCATION			
Humar		)		NO. FILE					
				61-0647538 NO.					
					5975				
1 (d) ADD	RESS OF ISSUER				(e) TELEP	HONE			
( " )		STREET	CITY		AREA	NUMBER			
	STATE	ZIP COD			CODE	580-1000			
	~				502				
500 W	est Main				502				
Street			Loui	isville	KY	40202			
	E OF PERSON FOR			ATIONS ND DRESS					
` '	COUNT THE SECU		TO	CITY		ZIP CODE			
ARE TO BE			ISSU						
				.—					
Heidi S	. Margulis		Senior	500 W. Main					
					Louisville K	Y 40202			
			Affairs						
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number									
<b>3</b> (a)	(b)	SEC USE ONLY			`	(g)			
3 (a) Title of the	* /	SEC USE ONL I	` '	(d) (e) (f AggregateNumber A		(g)			
	Name and Address	Broker Deeler							
Securities	of Each Broker	File Number	or Other Unit		(See Instr. 3(f				
To Be Sold		THE Number	To Be Sold		(MO. DAY Y	• •			
TO DE SOIG	the Securities Are		(See Instr. 3	Instr. Units	(MO. DAT T	(See instr. 3			
	To Be Offered or		•	3(d) Outstanding		·			
	Each Market		(c))			g))			
	Maker who is			(See Instr.					
	Acquiring the			3(e))					
	Securities			3(0))					
Common	Hilliard Lyons		3319	\$307,5 <b>\$5</b> 6,463,120	Δησης 28 20	13 NYSE			
Common	4801 Olympia		3317	based as of	August 20, 20	19 INIOE			
	Park Plaza, Suite			on FMV6/30/2013					
	1000			on					
	Louisville, KY			08/28/2013					
	40241			of					
	TU4T1			\$92.665					
				φ34.003					

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#### **INSTRUCTIONS:**

3. (a) Title of the class of securities to be sold

1. (a) Name of Issuer

- (b) Name and Address of each broker through whom the securities are intended t
- (b) Issuer's I.R.S. Identification Number (c) Number of shares or other units to be sold (if debt securities, give the aggregation)
- (c) Issuer's S.E.C. file number, if any
- (d) Aggregate market value of the securities to be sold as of a specified date with
- (d) Issuer's address, including zip code
- (e) Number of shares or other units of the class outstanding, or if debt securities t
- (e) Issuer's telephone number, including area bydhe most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including

### zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

		1 1 3	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
Title of	Date you	Nature of Acquisition	Name of the Person	Amount of	Date of	Nature of
the Class	Acquired	Transaction	from Whom Acquired	Securities	Payment	Payment
			(if gift, also give date	Acquired		
			donor acquired			
Common	02/19/12	Restricted Stock	Issuer	1484	02/19/12	n/a
Common	08/28/13*	Award	Issuer	1835	08/28/13*	Cash
		Stock Option Exercise				

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS (1)

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Title of Securities Amount of

> Sold Date of Sale Securities Sold **Gross Proceeds**

None

REMARKS: \*Exercise and Sell will occur on same day.

#### **INSTRUCTIONS:**

#### ATTENTION:

See the definition of "person" in paragraph (a) of RuleThe person for whose account the securities to which this 144. Information is to be given not only as to thenotice relates are to be sold hereby represents by signing this person for whose account the securities are to be soldnotice that he does not know any material adverse but also as to all other persons included in thatinformation in regard to the current and prospective definition. In addition, information shall be given as tooperations of the issuer of the securities to be sold which has sales by all persons whose sales are required bynot been publicly disclosed. If each person has adopted a paragraph (e) of Rule 144 to be aggregated with saleswritten trading plan or given trading instructions to satisfy for the account of the person filing this notice. Rule 10b5-1 under the Exchange Act, by signing the form and

indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan

adoption or instruction date.

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August 28, 2013

/s/\_Heidi S. Margulis

DATE OF NOTICE

(SIGNATURE)

# DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)