Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

GENERAL AMERICAN INVESTORS CO INC

Form 4 June 30, 2015

Stock

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB APPROVAL					
						OMB Number:	3235-0287				
Check this box							Expires: January 3				
if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES					NERSHIP OF	Estimated average burden hours per response					
Form 5 obligation may continue <i>See</i> Instruction 1(b).	inue. Section 17(a) o		tility Hold	ing Con	npany	Act of		·	0.5		
(Print or Type R	Responses)										
1. Name and A Stark Eugen	Symbol	•				5. Relationship of Reporting Person(s) to Issuer					
			GENERAL AMERICAN INVESTORS CO INC [GAM]					(Check all applicable)			
(Last)	(First) (Middl	,	3. Date of Earliest Transaction (Month/Day/Year)			Director 10% OwnerX_ Officer (give title Other (specify					
INVESTOR	AMERICAN S COMPANY, ARK AVENUE - 35	06/29/20	-				VP Admir	below) nistration/CCO	/PFO		
TLOOK	(Street)	4. If Ame	ndment, Dat	te Origina	l		6. Individual or Jo	oint/Group Filin	g(Check		
		led(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person					
NEW YORK	X, NY 10017						Form filed by M. Person	Iore than One Re	porting		
(City)	(State) (Zip)	Tabl	e I - Non-D	erivative	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	Deemed ecution Date, if y (onth/Day/Year)	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)				Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	06/29/2015		P	1,000	A	\$ 36.64	2,561	D (1)			
Common Stock							31,992	I	By Thrift Plan Trust		
5.95% Preferred							5,000	D (1)			

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

5.95% Preferred Stock	3,750	D (3)	
5.95% Preferred Stock	1,250	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. etionNumber of S) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Stark Eugene S GENERAL AMERICAN INVESTORS COMPANY, INC. 100 PARK AVENUE - 35TH FLOOR NEW YORK, NY 10017

VP Administration/CCO/PFO

Relationships

Signatures

/s/ Eugene S. 06/30/2015 Stark

**Signature of Date Reporting Person

Reporting Owners 2

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by undersigned in joint tenancy with spouse.
- (2) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.
- (3) Shares held by undersigned in an IRA account.
- (4) Shares held by unersigned's spouse in an IRA account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.