

MALONE WALLACE D JR  
 Form 3  
 November 10, 2004

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |   |  |  |   |
|--|--|---|--|--|---|
| 1. Name and Address of Reporting Person *<br>MALONE WALLACE D JR<br>(Last) (First) (Middle)<br><br>420 NORTH 20TH STREET, 34TH FLOOR<br>(Street)<br><br>BIRMINGHAM, AL 35203<br>(City) (State) (Zip) | 2. Date of Event Requiring Statement<br>(Month/Day/Year)<br>11/01/2004 | 3. Issuer Name and Ticker or Trading Symbol<br>WACHOVIA CORP NEW [WB] | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>Vice Chairman | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |
|--|--|---|--|--|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 2,489,993.2191   | D   | ^  |
| Common Stock                       | 950,539.6005   | I   | by 401K  |
| Common Stock                       | 814,350  | I   | by Ltd. Partnership                                      |
| Common Stock                       | 97,636.56  | I   | by Spouse  |
| Common Stock                       | 37,525.96  | I   | by Trust   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
|   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/16/2007         | Common<br>Stock  | 7,341                            | \$ 13.62   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/18/2010         | Common<br>Stock  | 5,673                            | \$ 17.63   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/27/2008         | Common<br>Stock  | 267,001                          | \$ 20.32   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 10/20/2009         | Common<br>Stock  | 640,800                          | \$ 20.6  | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/20/2009         | Common<br>Stock  | 311,500                          | \$ 21.24   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 02/05/2008         | Common<br>Stock  | 533,998                          | \$ 21.91   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/15/2011         | Common<br>Stock  | 311,499                          | \$ 22.51   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 04/15/2008         | Common<br>Stock  | 801,002                          | \$ 24.72   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/15/2012         | Common<br>Stock  | 311,500                          | \$ 26.99   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/13/2013         | Common<br>Stock  | 311,499                          | \$ 29.42   | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/20/2014         | Common<br>Stock  | 311,500                          | \$ 37.7  | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/18/2005         | Common<br>Stock  | 187,075                          | \$ 7.16  | D  | Â  |
| ESOP (Right To Buy) <sup>(1)</sup>            | 11/01/2004   | 01/17/2006         | Common<br>Stock  | 10,573                           | \$ 9.46  | D  | Â  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                 |       |
|--|---------------|-----------|-----------------|-------|
|  | Director      | 10% Owner | Officer         | Other |
| MALONE WALLACE D JR<br>420 NORTH 20TH STREET<br>34TH FLOOR<br>BIRMINGHAM, AL 35203 | Â X           | Â         | Â Vice Chairman | Â     |

## Signatures

Wallace D.  
Malone, Jr.

11/10/2004

    \*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one-year is limited to 100,000 dollars.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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