

SunOpta Inc.
Form 3
April 08, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Versteegh Gerardus Joseph
Maria

(Last) (First) (Middle)

STATIONSPLEIN 61-65

(Street)

AB AMSTERDAM,Â P7Â 1012

(City) (State) (Zip)

2. Date of Event Requiring Statement
(Month/Day/Year)
04/01/2015

3. Issuer Name **and** Ticker or Trading Symbol
SunOpta Inc. [STKL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer ____X____ Other
(give title below) (specify below)
President, ISS

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common shares

76,680

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options	Â <u>(1)</u>	05/11/2020	Common shares	23,500	\$ 4.45	D	Â
Stock Options	Â <u>(2)</u>	05/10/2021	Common shares	22,500	\$ 7.35	D	Â
Stock Options	Â <u>(3)</u>	03/04/2022	Common shares	35,000	\$ 5.15	D	Â
Stock Options	Â <u>(4)</u>	05/07/2022	Common shares	35,000	\$ 5.73	D	Â
Stock Options	Â <u>(6)</u>	05/06/2023	Common shares	24,761	\$ 7.36	D	Â
Stock options	Â <u>(7)</u>	05/12/2024	Common shares	4,261	\$ 11.3	D	Â
Stock options	Â <u>(8)</u>	05/12/2024	Common shares	6,392	\$ 11.3	D	Â
Stock Options	Â <u>(5)</u>	05/06/2023	Common shares	10,239	\$ 7.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Versteegh Geradus Joseph Maria STATIONSPLEIN 61-65 AB AMSTERDAM,Â P7Â 1012	Â	Â	Â	President, ISS

Signatures

/s/ Geradus
Versteegh

04/08/2015

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became or will become exercisable in five equal annual installments on each of May 12, 2011, 2012, 2013, 2014 and 2015. These options qualify as incentive stock options.
- (2) These options became or will become exercisable in five equal annual installments on each of May 11, 2012, 2013, 2014, 2015 and 2016. These options qualify as incentive stock options.
- (3) These options became or will become exercisable in five equal annual installments on each of March 5, 2013, 2014, 2015, 2016 and 2017. These options qualify as incentive stock options.
- (4) These options became or will become exercisable in five equal annual installments on each of May 8, 2013, 2014, 2015, 2016 and 2017. These options qualify as incentive stock options.

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- (5) These options will become exercisable in two annual installments. The first installment of 3,239 options will become exercisable on May 7, 2017. The second installment of 7,000 options will become exercisable on May 7, 2018. These options qualify as incentive stock options.

- (6) These options became or will become exercisable in four annual installments. The first three installments of 7,000 options became or will become exercisable on each of May 7, 2014, 2015 and 2016. The fourth installment of 3,761 options will become exercisable on May 7, 2017. These options are considered non-statutory stock options.

- (7) These options will become exercisable in two annual installments. The first installment of 2,130 options will become exercisable on May 13, 2018. The second installment of 2,131 options will become exercisable on May 13, 2019. These options qualify as incentive stock options.

- (8) These options will become exercisable in three annual installments. The first installment of 2,131 options will become exercisable on May 13, 2015. The second installment of 2,130 options will become exercisable on May 13, 2016. The third installment of 2,131 options will become exercisable on May 13, 2017. These options are considered non-statutory stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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