#### SEI INVESTMENTS CO

Form 4 June 14, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KLAUDER PAUL Issuer Symbol SEI INVESTMENTS CO [SEIC] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title **SEI INVESTMENTS** 06/13/2017 below) COMPANY, ONE FREEDOM EXECUTIVE VICE PRESIDENT **VALLEY DRIVE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

OAKS, PA 19456

(State)

(Zip)

(City)

Form filed by More than One Reporting

(City)	(State) (Zip)	Table I -	Non-Deriv	vative Sec	uritie	s Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi On(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(msu. 3 and 4)		
STOCK OPTION (RIGHT TO PURCHASE)	06/13/2017		M	7,500	A	\$ 17.65	21,629	D	
COMMON STOCK	06/13/2017		S	7,500	D	\$ 53.56	14,129	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
STOCK OPTION (RIGHT TO PURCHASE)	\$ 17.65	06/13/2017		M	7,500	02/28/2014	12/15/2017	STOCK OPTION (RIGHT TO PURCHASE)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KLAUDER PAUL SEI INVESTMENTS COMPANY ONE FREEDOM VALLEY DRIVE OAKS, PA 19456

**EXECUTIVE VICE PRESIDENT** 

## **Signatures**

RUTH MONTGOMERY (ATTORNEY IN FACT)

06/14/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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