

HAEMONETICS CORP

Form 4

November 04, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CONCANNON BRIAN

(Last) (First) (Middle)

400 WOOD ROAD

(Street)

BRAINTREE, MA 02184

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HAEMONETICS CORP [HAE]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/01/2013		M	(A) or (D) Amount (1) \$ 25.535	134,907	D	
Common Stock	11/01/2013		S	(A) or (D) Amount (1) \$ 39.9578	130,307	D	
Common Stock	11/01/2013		M	(A) or (D) Amount (1) \$ 13.0525	133,307	D	
Common Stock	11/01/2013		S	(A) or (D) Amount (1) \$ 39.9604	130,307	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 13.0525	11/01/2013		M	3,000 (1)	05/05/2005 ⁽²⁾	05/05/2014	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 25.535	11/01/2013		M	4,600 (1)	10/24/2008 ⁽²⁾	10/24/2014	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 26.47					10/27/2010 ⁽²⁾	10/27/2016	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 27.275					10/22/2009 ⁽²⁾	10/22/2015	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 27.495					10/27/2011 ⁽²⁾	10/27/2017	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 27.685					04/02/2010 ⁽²⁾	04/02/2016	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 30.67					10/25/2012 ⁽²⁾	10/25/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 39.055					10/24/2013 ⁽²⁾	10/24/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 41.66					10/23/2014 ⁽²⁾	10/23/2019	Common Stock

Performance
Shares \$ 0

03/31/2017⁽³⁾ 12/31/2017⁽³⁾ Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CONCANNON BRIAN 400 WOOD ROAD BRAINTREE, MA 02184	X		President & CEO	

Signatures

By: Alexander Steffan For: Brian
Concannon 11/04/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a 10b5-1 Plan.
- (2) Grant to reporting person of right to buy shares of common stock exercisable in annual increments of 25 percent beginning on the first anniversary of the date of grant.

Market Share Units represent a right to receive one share of Haemonetics Corporation common stock for each Market Stock Unit based on Haemonetics stock price during the 30 trading days prior to March 31, 2017. The number of Market Stock Units (and therefore the number of shares payable) will depend on the Companys stock price during such period. The Market Stock Units disclosed in column 5 represent a target amount. The number of shares ultimately issued could range from none to three times the target amount in column 5. The Market Stock Units were granted under the 2005 Haemonetics Corporation Long-Term Incentive Compensation Plan and are exempt under Rule 16b-3(d).
- (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.