HC2 HOLDINGS, INC. Form SC 13D December 14, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13D (Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO § 240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO § 240.13d-2(a)

UNDER THE SECURITIES EXCHANGE ACT OF 1934

(Amendment No.__)*

HC2 Holdings, Inc.

(Name of Issuer)

Common Stock, par value \$0.001 (Title of Class of Securities)

404139107 (CUSIP Number)

Bryant R. Riley

B. Riley Financial, Inc.

21255 Burbank Boulevard, Suite 400

Woodland Hills, CA 91367

(818) 884-3737 (Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

December 3, 2018

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box .

Note. Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. *See* § 240.13d-7 for other parties to whom copies are to be sent.

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, *see* the Notes).

SCHEDULE 13D

1	NAMES OF REPORTING PERSONS B. Riley Financial, Inc. CHECK THE APPROPRIATE BOX IF A
2	MEMBER OF A GROUP* (a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER O	
SHARES	8 SHARED VOTING POWER 4,136,162
BENEFICIAI	
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 _{4,136,162}
PERSON	
WITH	
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 4,136,162
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 9.24%* TYPE OF REPORTING PERSON (*See* Instructions) HC

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

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SCHEDULE 13D

1	NAMES OF REPORTING PERSONS B. Riley FBR, Inc. CHECK THE APPROPRIATE BOX IF A
2	(a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (<i>See</i> Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER OI	F 7 SOLE VOTING POWER
SHARES	0 8 SHARED VOTING POWER 3,197,255
BENEFICIAI	
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 _{3,197,255}
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 3,197,255
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 7.14%*

14 TYPE OF REPORTING PERSON (See BD

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

SCHEDULE 13D

1	NAMES OF REPORTING PERSONS B. Riley Wealth Management, Inc. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
2	(a)
	(b)
3	SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER OF	
SHARES	8 SHARED VOTING POWER 12,416
BENEFICIAI	•
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 _{12,416}
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 12,416
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 0.03%*

14 TYPE OF REPORTING PERSON (See BD/IA

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

1	NAMES OF REPORTING PERSONS B. Riley Capital Management, LLC CHECK THE APPROPRIATE BOX IF A		
2	MEMBER OF A GROUP* (a)		
3	(b) SEC USE ONLY		
4	SOURCE OF FUNDS (See Instructions) WC		
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)		
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware		
NUMBER OF	7 SOLE VOTING POWER		
SHARES	0 8 SHARED VOTING POWER 926,491		
BENEFICIAI	,		
OWNED BY	SHARED DISPOSITIVE POWER		
EACH			
REPORTING	10 _{926,491}		
PERSON			
WITH			
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 926,491		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 2.07%*		
14	TYPE OF REPORTING PERSON (See Instructions)		

IA

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

SCHEDULE 13D

1	NAMES OF REPORTING PERSONS BRC Partners Management GP, LLC CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
2	(a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER OF	
SHARES	8 SHARED VOTING POWER 554,683
BENEFICIAI	
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 _{554,683}
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 554,683
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 1.24%*

TYPE OF REPORTING PERSON (See Instructions) OO

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

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SCHEDULE 13D

1	NAMES OF REPORTING PERSONS BRC Partners Opportunities Fund, LP CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
2	(a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions)
5	WC CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER OI	F 7 SOLE VOTING POWER
SHARES	0 8 SHARED VOTING POWER 554,683
BENEFICIAI	LLY 9 SOLE DISPOSITIVE POWER $_0$
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 _{554,683}
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 554,683
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 1.24%*

14 TYPE OF REPORTING PERSON (See PN

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

SCHEDULE 13D

1	NAMES OF REPORTING PERSONS BR Dialectic Capital Management, LLC CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
2	(a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER OF	
SHARES	8 SHARED VOTING POWER 275,000
BENEFICIAI	,
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 275,000
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 275,000
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 0.61%*

TYPE OF REPORTING PERSON (See Instructions) OO

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

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SCHEDULE 13D

1	NAMES OF REPORTING PERSONS Dialectic Antithesis Partners, LP CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
2	(a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER O	
SHARES	8 SHARED VOTING POWER 275,000
BENEFICIAI	
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	10 275,000
PERSON	
WITH	
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 275,000
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
13	

PERCENT OF CLASS REPRESENTED
BY AMOUNT IN ROW (11)
0.61%*
TYPE OF REPORTING PERSON (See Instructions)
PN

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

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SCHEDULE 13D

1	NAMES OF REPORTING PERSONS B. Riley Diversified Equity Fund CHECK THE APPROPRIATE BOX IF A
2	MEMBER OF A GROUP* (a)
3	(b) SEC USE ONLY
4	SOURCE OF FUNDS (See Instructions) WC
5	CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)
6	CITIZENSHIP OR PLACE OF ORGANIZATION Delaware
NUMBER O	
SHARES	8 SHARED VOTING POWER 90,408
BENEFICIAI	
OWNED BY	SHARED DISPOSITIVE POWER
EACH	
REPORTING	6 10 _{90,408}
PERSON	
WITH	AGGREGATE AMOUNT
11	BENEFICIALLY OWNED BY EACH REPORTING PERSON 90,408
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (<i>See</i> Instructions)
12	

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 0.20%* TYPE OF REPORTING PERSON (*See* Instructions) OO

* Percent of class is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

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Item 1. Security and Issuer.

The securities to which this statement on Schedule 13D (this <u>"Statement</u>") relates are the common stock, \$0.001 par value per share (the <u>"Common Stock</u>"), of HC2 Holdings, Inc., a Delaware corporation (th<u>e</u> "Issuer"). The address of the principal executive offices of the Issuer is 450 Park Avenue, 30th Floor, New York, NY 10022.

Item 2. Identity and Background.

This Schedule 13D is being filed by the following persons (each, a <u>"Reporting Person</u>" and collectively, th<u>e "Reporting Persons</u>"):

B. Riley Financial, Inc. (<u>"BRF</u>") is a Delaware corporation with a principal place of business located at 21255 (1)Burbank Boulevard, Suite 400, Woodland Hills, California 91367. The principal business of BRF is serving as a holding company.

B. Riley FBR, Inc. (<u>"BRFBR</u>") is a Delaware corporation with a principal place of business located at 11100 Santa (2)Monica Blvd., Suite 800, Los Angeles, California 90025. The principal business of BRFBR is serving as a broker dealer.

B. Riley Wealth Management, Inc. (<u>"BRWM</u>") is a Delaware corporation with a principal place of business located (3) at 11100 Santa Monica Blvd., Suite 800, Los Angeles, California 90025. The principal business of BRFBR is serving as a broker dealer and investment adviser.

BRC Partners Opportunity Fund, L.P. (<u>"BRPLP</u>") is a Delaware limited partnership with a principal place of business (4)located at 11100 Santa Monica Blvd., Suite 800, Los Angeles, California 90025. The principal business of BRPLP is investing in securities.

B. Riley Capital Management, LLC (<u>"BRCM</u>") is a New York limited liability company with a principal place of (5) business located at 11100 Santa Monica Blvd., Suite 800, Los Angeles, California 90025. The principal business of BRCM is acting as a registered investment advisor to various clients, including BRPLP, Dialectic and BRDEF.

BRC Partners Management GP, LLC (<u>"BRPGP</u>") is a Delaware limited liability company with a principal place of (6) business located at 11100 Santa Monica Blvd., Suite 800, Los Angeles, California 90025. The principal business of BRPGP is serving as a general partner of BRPLP.

Dialectic Antithesis Partners, LP (<u>"Dialecti</u>c") is a Delaware limited partnership with a principal place of business (7)located at 119 Rowayton Avenue, 2nd Floor, Norwalk, Connecticut 06853. The principal business of Dialectic is investing in securities.

BR Dialectic Capital Management, LLC (<u>"BR Dialectic</u>") is a Delaware limited liability company with a principal place of business located at 119 Rowayton Avenue, 2nd Floor, Norwalk, Connecticut 06853. The principal business of BR Dialectic is serving as a general partner of hedge funds and acting as an exempt reporting advisor to various clients, including Dialectic.

B. Riley Diversified Equity Fund (<u>"BRDEF</u>") is a series of World Funds Trust, an open-end registered investment (9) company that is organized as a Delaware statutory trust with a principal place of business located at 8730 Stony Point Parkway, Suite 205, Richmond Virginia 23235. The principal business of BRDEF is operating as a pooled investment vehicle that primarily invests in securities.

Set forth on Schedule A annexed hereto (<u>"Schedule A</u>") is the name and present principal business, occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted, and the citizenship of the executive officers and directors of BRF. To the best of BRF's knowledge, none of the persons listed on Schedule A beneficially owns any securities of the Issuer or is a party to any contract, agreement, or understanding required to be disclosed herein.

During the last five years, none of the Reporting Persons nor any person listed on Schedule A have been: (i) convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors); or (ii) a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

Item 3. Source and Amount of Funds or Other Considerations

All of the shares of Common Stock to which this Statement relates were purchased on behalf of the Reporting Persons using the investment capital of the respective Reporting Persons. The aggregate purchase price of the 4,136,162 shares of Common Stock (the <u>"Shares</u>") was approximately \$17,775,921. (excluding commissions).

From time to time, BRFBR, BRPLP and Dialectic may effect purchases of securities through margin accounts, and may be extended margin credit as and when required to open or carry positions in the margin accounts, subject to applicable federal margin regulations and stock exchange rules. In such instances, the positions held in the margin accounts are pledged as collateral security for the repayment of debit balances in the accounts.

Item 4. Purpose of Transaction

The Reporting Persons originally purchased the Shares for investment purposes, and such purchases were made in the ordinary course of business of the Reporting Persons. However, the Reporting Persons have concluded that a more proactive approach is now warranted and intends to evaluate all options to enhance shareholder value.

The Reporting Persons may further purchase, hold, vote, trade, dispose or otherwise deal in the Common Stock at times, and in such manner, as they deem advisable to benefit from, among other things, (1) changes in the market prices of the shares of Common Stock; (2) changes in the Issuer's operations, business strategy or prospects; or (3) from strategic transactions involving the Issuer. To evaluate such alternatives, the Reporting Persons will closely monitor the Issuer's operations, prospects, business development, management, competitive and strategic matters, capital structure, and prevailing market conditions, as well as other economic, securities markets and investment

considerations. Consistent with their investment research methods and evaluation criteria, the Reporting Persons may discuss such matters with the management or Board of Directors of the Issuer (the <u>"Board</u>"), other stockholders, industry analysts, existing or potential strategic partners or competitors, investment and financing professionals, sources of credit, and other investors. Such evaluations and discussions may materially affect, and result in, among other things, the Reporting Persons (1) modifying their ownership of the Common Stock; (2) exchanging information with the Issuer pursuant to appropriate confidentiality or similar agreements; (3) proposing changes in the Issuer's operations, governance or capitalization; (4) pursuing a transaction that would result in the Reporting Persons' acquisition of all or a controlling interest in the Issuer; or (5) pursuing one or more of the other actions described in subsections (a) through (j) of Item 4 of Schedule 13D.

In addition to the information disclosed in this Statement, the Reporting Persons reserve the right to (1) formulate other plans and proposals; (2) take any actions with respect to their investment in the Issuer, including any or all of the actions set forth in subsections (a) through (j) of Item 4 of Schedule 13D; and (3) acquire additional shares of Common Stock or dispose of some or all of the shares of Common Stock beneficially owned by them, in each case in the open market, through privately negotiated transactions or otherwise. The Reporting Persons may at any time reconsider and change their plans or proposals relating to the foregoing.

Item 5. Interest in Securities of the Issuer

The percent of class in this Item 5 is calculated based on 44,774,936 shares of Common Stock outstanding as of October 31, 2018 as reported in the Issuer's Form 10-Q filed on November 6, 2018.

Each of the Reporting Persons, as a member of a "group" with the other Reporting Persons for purposes of Rule 13d-5(b)(1) of the Securities Exchange Act of 1934, as amended (the <u>"Exchange Act</u>"), may be deemed to beneficially own the securities of the Issuer owned by the other Reporting Persons. The filing of this Schedule 13D shall not be deemed an admission that the Reporting Persons are, for purposes of Section 13(d) of the Exchange Act, the beneficial owners of any securities of the Issuer it does not directly own. Each of the Reporting Persons specifically disclaims beneficial ownership of the securities reported herein that it does not directly own.

As of the date hereof, BRPLP beneficially owns directly 554,683 shares of Common Stock representing 1.24% of the Issuer's Common Stock, Dialectic beneficially owns directly 275,000 shares of Common Stock, representing 0.61% of the Issuer's Common Stock, BRDEF beneficially owns directly 90,408 shares of the Issuer's Common
(a) Stock, representing 0.20% of the Issuer's Common Stock, BRWM beneficially owns directly 12,416 shares of the Issuer's Common Stock, representing 0.03% of the Issuer's Common Stock, and BRFBR beneficially owned directly 3,197,255 shares of Common Stock, representing 7.14% of the Issuer's Common Stock. In addition, BRCM acts as investment adviser for managed accounts which directly own an additional 6,400 shares of the Issuer's Common Stock, representing 0.01% of the Issuer's Common Stock, and BRCM indirectly beneficially owns these shares.

BRPGP is the general partner of BRPLP, BRCM is an investment advisor to BRPLP and BRF is the parent (b) company of BRCM. As a result, BRPGP, BRCM and BRF may be deemed to indirectly beneficially own the Shares held by BRPLP.

BR Dialectic is the general partner of and an investment advisor to Dialectic, BR Dialectic is a wholly-owned subsidiary of BRCM, and BRF is the parent company of BRCM. As a result, BR Dialectic, BRCM and BRF may be deemed to indirectly beneficially own the Shares held by Dialectic.

BRCM is an investment advisor to BRDEF and BRF is the parent company of BRCM. As a result, BRCM and BRF may be deemed to indirectly beneficially own the Shares held by BRDEF.

BRF is the parent company of BRFBR and BRWM. As a result, BRF may be deemed to indirectly beneficially own the Shares held by BRFBR and BRWM.

As of the date hereof, each of BRPLP, BRPGP, BRCM and BRF have shared power to vote or direct the vote of, and to dispose or direct the disposition of, the Shares beneficially owned directly by BRPLP.

As of the date hereof, each of Dialectic, BR Dialectic, BRCM and BRF have shared power to vote or direct the vote of, and to dispose or direct the disposition of, the Shares beneficially owned directly by Dialectic.

As of the date hereof, each of BRDEF, BRCM and BRF have shared power to vote or direct the vote of, and to dispose or direct the disposition of, the Shares beneficially owned directly by BRDEF.

As of the date hereof, each of BRFBR and BRF have shared power to vote or direct the vote of, and to dispose or direct the disposition of, the Shares beneficially owned directly by BRFBR.

As of the date hereof, each of BRWM and BRF have shared power to vote or direct the vote of, and to dispose or direct the disposition of, the Shares beneficially owned directly by BRWM.

As of the date hereof, BRF and BRCM have shared power to vote or direct the vote of, and shared power to dispose or direct the disposition of, the Shares beneficially owned by BRCM.

(c) The Reporting Persons' transactions within the past 60 days are set forth on Schedule B.

(d) Except as described herein, no other person has the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the Shares held by the Reporting Persons.

(e)Not applicable.

Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

None.

Item 7. Material to Be Filed as Exhibits

The following documents are filed as exhibits:

Exhibit Number Description

 Joint Filing Agreement, dated December 13, 2018, by and among B. Riley Financial, Inc., B. Riley FBR,

 Inc., B. Riley Wealth Management, Inc., B. Riley Capital Management, LLC, BRC Partners Opportunity

 Fund, L.P., BRC Partners Management GP, LLC, Dialectic Antithesis Partners, LP, BR Dialectic Capital

 Management, LLC, and B. Riley Diversified Equity Fund.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

B. RILEY FINANCIAL, INC.

By: /s/ Bryant R. Riley Date: December 13, 2018 Name: Bryant R. Riley

Title: Co-Chief Executive Officer

B. RILEY FBR, INC.

By: /s/ Andrew Moore

Name: Andrew Moore

Title: Chief Executive Officer

B. RILEY WEALTH MANAGEMENT, INC.

By: /s/ Bryant Riley

Name: Bryant Riley

Title: Chief Executive Officer

BRC PARTNERS OPPORTUNITY FUND, L.P.

By: /s/ Bryant R. Riley

Name: Bryant R. Riley

Title: Chief Investment Officer

BRC PARTNERS MANAGEMENT GP, LLC

By: B. Riley Capital Management, LLC, its sole member

By: /s/ Bryant R. Riley

Name: Bryant R. Riley

Title: Chief Executive Officer

B. RILEY CAPITAL MANAGEMENT, LLC

By: /s/ Bryant R. Riley

Name: Bryant R. Riley

Title: Chief Executive Officer

DIALECTIC ANTITHESIS PARTNERS, LP

By: /s/ John Fichthorn

Name: John Fichthorn

Title: Chief Investment Officer

BR DIALECTIC CAPITAL MANAGEMENT, LLC

By: B. Riley Capital Management, LLC, its sole member

By: /s/ Bryant R. Riley

Name: Bryant R. Riley

Title: Chief Executive Officer

B. RILEY DIVERSIFIED EQUITY FUND

By: /s/ Bryant Riley

Name: Bryant Riley

Title: Authorized Signer

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative (other than an executive officer or general partner of this filing person), evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (*see* 18 U.S.C. 1001).

SCHEDULE A

Executive Officers and Directors of B. Riley Financial, Inc.

Name and Position	Present Principal Occupation	<u>Business</u> Address	<u>Citizenship</u>
Bryant R. Riley, Chairman and Co-Chief Executive Officer	Co-Portfolio Manager of BRC Partners Opportunity Fund, LP, Chief Executive Officer of BRC Partners Management GP, LLC, Chief Executive Officer of B. Riley Capital Management, LLC, Executive Officer of B. Riley FBR, Inc. and Co-Chief Executive Officer of B. Riley Financial, Inc.	11100 Santa Monica Blvd. Suite 800	United States
Thomas J. Kelleher, Co-Chief Executive Officer and Director	Co-Chief Executive Officer of B. Riley Financial, Inc. and Executive Officer of B. Riley FBR, Inc.	Los Angeles, CA 90025 11100 Santa Monica Blvd. Suite 800	United States
Andrew Gumaer, Chief Executive Officer of Great American Group, LLC and Director	Chief Executive Officer of Great American Group, LLC, a subsidiary of B. Riley Financial, Inc.	Los Angeles, CA 90025 21255 Burbank Blvd. Suite 400 Woodland	United States
		Hills, CA 91367 21255 Burbank Blvd.	
Robert D'Agostino, Director	President of Q-mation, Inc., a supplier of software solutions	Suite 400	United States
Mikel Williams, Director	• CEO & Director of privately held Targus International, LLC, supplier of carrying cases and accessories	Woodland Hills, CA 91367 21255 Burbank	United States
	supplier of carrying cases and accessories	Duivallk	States

		Blvd.	
		Suite 400	
		Woodland Hills, CA 91367 21255 Burbank Blvd.	
Todd D. Sims, Director	SVP of Digital Strategy, Anschutz Entertainment Group, Inc., a sports and entertainment company	Suite 400	United States
		Woodland Hills, CA 91367	

Name and Position	Present Principal Occupation	Business Address 21255 Burbank Blvd.	<u>Citizenship</u>	
Robert L Antin, Director	Co-Founder, VCA, Inc., an owner and operator of Veterinary care centers & hospitals	Suite 400	United States	
		Woodland Hills, CA 91367 21255 Burbank Blvd.		
Michael J. Sheldon, Director	Chairman & CEO of Deutsch North America, a creative agency	Suite 400	United States	
		Woodland Hills, CA 91367 21255 Burbank Blvd.		
Kenny Young, President	President of B. Riley Financial, Inc.	Suite 400	United States	
		Woodland Hills, CA 91367 21255 Burbank Blvd.		
Phillip J. Ahn, Chief Financial Officer and Chief Operating Officer	Chief Financial Officer and Chief Operating Officer of B. Riley Financial, Inc.	Suite 400	United States	
Alan N. Forman, Executive Vice President, General Counsel and	Executive Vice President, General Counsel	Woodland Hills, CA 91367 299 Park Avenue, 7th Floor	United	
Secretary	and Secretary of B. Riley Financial, Inc.	New York, NY 10171	States	
		21255 Burbank Blvd.		
Howard E. Weitzman, Senior Vice President & Chief Accounting Officer	Senior Vice President & Chief Accounting Officer of B. Riley Financial, Inc.	Suite 400	United States	
		Woodland Hills, CA 91367		

Schedule B

Transactions within the past 60 Days

See attached.

Schedule B

Trade Date BUY/SELL	Notional Quantity	Trad	de Price LE Name
10/12/2018Buy	1,200	\$	5.5998 DIALECTIC ANTITHESIS PARTNERS LP
10/15/2018Buy	13,800	\$	5.5061 DIALECTIC ANTITHESIS PARTNERS LP
10/18/2018Buy	100	\$	5.3200 B. RILEY FBR INC.
10/18/2018Buy	5,000	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018 Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	300	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	200	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	140	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3300 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.
10/18/2018Buy	100	\$	5.3250 B. RILEY FBR INC.

10/18/2018Buy	200	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	10,000	\$ 5.3856 BRC PARTNERS OPPORTUNITY FUND, LP
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	4,837	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	40	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	60	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	300	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3300 B. RILEY FBR INC.
10/19/2018Buy	1	\$ 5.3200 B. RILEY FBR INC.
10/19/2018Buy	14	\$ 5.3200 B. RILEY FBR INC.
10/19/2018Buy	100	\$ 5.3200 B. RILEY FBR INC.
10/19/2018Buy	1,685	\$ 5.3300 B. RILEY FBR INC.

10/10/2010 D	100 \$	5 2200D DILEVEDDINC
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy	1 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy	22 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3450B. RILEY FBR INC.
10/19/2018Buy	195 \$	5.3450B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3500B. RILEY FBR INC.
10/19/2018Buy		5.3200B. RILEY FBR INC.
10/19/2018Buy		5.3200B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
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10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy		5.3300B. RILEY FBR INC.
10/19/2018Buy	100 \$	5.3300B. RILEY FBR INC.

10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 60 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 40 \$	5.3300B. RILEY FBR INC.

10/19/2018Buy 90 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 1,958 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 200 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 1 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
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10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3400B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3450B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3475B. RILEY FBR INC.
10/19/2018Buy 200 \$	5.3475 B. RILEY FBR INC.
10/19/2018Buy 300 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 200 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
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10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 200 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 300 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 454 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3500B. RILEY FBR INC.
10/19/2018Buy 1 \$	5.3200B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3200B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 5 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/19/2018Buy 100 \$	5.3300B. RILEY FBR INC.
10/22/2018Buy 5,690 \$	5.6000 DIALECTIC ANTITHESIS PARTNERS LP
10/22/2018Buy 8,000 \$	5.5947 DIALECTIC ANTITHESIS PARTNERS LP
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10/22/2018Buy 100 \$	5.4800B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.4850B. RILEY FBR INC.
10/22/2018Buy 300 \$	5.5750B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.5000B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.4900B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.5000B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.5000B. RILEY FBR INC.
10/22/2018Buy 177 \$	5.4800B. RILEY FBR INC.
10/22/2018Buy 200 \$	5.4600B. RILEY FBR INC.
10/22/2018Buy 7 \$	5.4650B. RILEY FBR INC.
10/22/2018Buy 30 \$	5.6000B. RILEY FBR INC.
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10/22/2018Buy 200 \$	5.6100B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.6100B. RILEY FBR INC.
10/22/2018Sell (200) \$	5.5750B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.5000B. RILEY FBR INC.

10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 100		5.5000B. RILEY FBR INC.
10/22/2018Buy 200		5.5800B. RILEY FBR INC.
10/22/2018Buy 100		5.6200B. RILEY FBR INC.
10/22/2018 Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.4700B. RILEY FBR INC.
10/22/2018Buy 100		5.4900B. RILEY FBR INC.
10/22/2018Buy 100		5.4950B. RILEY FBR INC.
10/22/2018Buy 100		5.4950B. RILEY FBR INC.
10/22/2018Buy 100		5.5000B. RILEY FBR INC.
10/22/2018Buy 200		5.5500B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6450B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 300	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 200	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 100		5.6000B. RILEY FBR INC.
10/22/2018Buy 500		5.6100B. RILEY FBR INC.
10/22/2018Buy 100		5.6150B. RILEY FBR INC.
10/22/2018Buy 100		5.5500B. RILEY FBR INC.
10/22/2018 Buy 200		5.5500B. RILEY FBR INC.
10/22/2018Buy 400		5.5500B. RILEY FBR INC.
10/22/2018Buy 100		5.5600B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
•	, p \$	5.5900B. RILEY FBR INC.
10/22/2018Buy 10		
10/22/2018Buy 7	\$	5.4600B. RILEY FBR INC.
10/22/2018Buy 9	\$	5.4650B. RILEY FBR INC.
10/22/2018Buy 100		5.5000B. RILEY FBR INC.
10/22/2018Buy 100		5.6000B. RILEY FBR INC.
10/22/2018Buy 100		5.6100B. RILEY FBR INC.
10/22/2018Buy 200		5.6100B. RILEY FBR INC.
10/22/2018Buy 300		5.6500B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6500B. RILEY FBR INC.
10/22/2018Buy 400	\$	5.6100B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5500B. RILEY FBR INC.
10/22/2018Buy 200	\$	5.5500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.6500B. RILEY FBR INC.
10/22/2018Buy 100		5.5000B. RILEY FBR INC.
10/22/2018Buy 100		5.6000B. RILEY FBR INC.
10/22/2018Buy 300		5.6500B. RILEY FBR INC.
10/22/2010 Duy 500	Ψ	

10/22/2018Buy 1,800 \$	5.5000 B. RILEY FBR INC.
10/22/2018Buy 200 \$	5.5850B. RILEY FBR INC.
10/22/2018Buy 100 \$	5.5950B. RILEY FBR INC.
10/22/2018Buy 600 \$	5.6150B. RILEY FBR INC.

10/22/2018Buy 2	\$	5.4900B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.4950B. RILEY FBR INC.
10/22/2018Buy 300	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 200	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 400	\$	5.6000B. RILEY FBR INC.
10/22/2018 Sell (40)	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.4900B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 500	\$	5.6100B. RILEY FBR INC.
10/22/2018Sell (100)		5.5850B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 200	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 300	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6500B. RILEY FBR INC.
10/22/2018Sell (50)	\$	5.5800B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.4900B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.5000B. RILEY FBR INC.
10/22/2018Buy 200	\$	5.5400B. RILEY FBR INC.
10/22/2018Buy 300	\$	5.6500B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6500B. RILEY FBR INC.
10/22/2018 Sell (50)	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.4900B. RILEY FBR INC.
10/22/2018Buy 100	\$	5.6000B. RILEY FBR INC.
10/22/2018Buy 300	\$	5.6050B. RILEY FBR INC.
10/22/2018Buy 10	\$	5.6100B. RILEY FBR INC.
10/22/2018Sell (100)		5.6000B. RILEY FBR INC.
10/22/2018Buy 1,200		5.5000B. RILEY FBR INC.
10/22/2018 Buy 100		
10/22/2018Buy 100 10/22/2018Buy 100	\$	5.6100B. RILEY FBR INC.
10/22/2018Buy 100	\$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9	\$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100	\$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200	\$ \$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.5500B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168	\$ \$ \$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5500B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$	 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$	5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 191	\$ \$ \$ \$ \$ \$ \$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5600B. RILEY FBR INC. 5.6000B. RILEY FBR INC. 5.4900B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 191 10/22/2018Buy 700	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5600B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 191 10/22/2018Buy 700 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 191 10/22/2018Buy 700 10/22/2018Buy 100 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 168 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 101 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4950 B. RILEY FBR INC. 5.5000 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.4900B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5500B. RILEY FBR INC. 5.5600B. RILEY FBR INC. 5.6000B. RILEY FBR INC. 5.6100B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.6500B. RILEY FBR INC. 5.4950B. RILEY FBR INC. 5.5900B. RILEY FBR INC. 5.5000B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 700 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Buy 100 10/22/2018Sell (50) 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.500 B. RILEY FBR INC.
10/22/2018Buy 100 10/22/2018Buy 9 10/22/2018Buy 100 10/22/2018Buy 200 10/22/2018Buy 100 10/22/2018Buy 100	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	 5.6100 B. RILEY FBR INC. 5.6500 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.4900 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5500 B. RILEY FBR INC. 5.5600 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.6100 B. RILEY FBR INC. 5.6000 B. RILEY FBR INC. 5.5000 B. RILEY FBR INC.
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10/22/2018Buy 100	\$ 5.5800B. RILEY FBR INC.
10/22/2018Buy 100	\$ 5.6100B. RILEY FBR INC.
10/22/2018Buy 100	\$ 5.6500B. RILEY FBR INC.
10/22/2018Buy 100	\$ 5.5000B. RILEY FBR INC.

10/22/2018Buy 100 \$5.5000B. RILEY FBR INC.10/22/2018Buy 300 \$5.6050B. RILEY FBR INC.10/22/2018Buy 400 \$5.6100