

TRI Pointe Homes, Inc.  
Form 4  
June 04, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Krivanec Ken**

(Last) (First) (Middle)

**C/O TRI POINTE HOMES,  
INC., 19540 JAMBOREE ROAD,  
SUITE 300**

(Street)

**IRVINE, CA 92612**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**TRI Pointe Homes, Inc. [TPH]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**06/02/2015**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
**President of Quadrant Homes**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price (A) or (D)		
Common Stock	06/02/2015		M		5,883 A \$ 9.68 (1)	41,432	D
Common Stock	06/02/2015		S		5,883 D \$ 14.74 (2)	35,549	D
Common Stock	06/02/2015		M		5,805 (3) A \$ 11.45	41,354	D
Common Stock	06/02/2015		S		5,805 (3) D \$ 14.74 (2)	35,549	D

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Common Stock      06/02/2015      S      5,432      D      \$ 14.74      30,117      D      (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock option (right to buy)	\$ 9.68 <sup>(1)</sup>	06/02/2015		M	5,883	07/07/2014 02/08/2022	Common Stock	5,883
Stock option (right to buy)	\$ 11.45	06/02/2015		M	5,805 <sup>(3)</sup>	07/07/2014 02/09/2021	Common Stock	5,805 <sup>(3)</sup>

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director    10% Owner    Officer    Other

Krivanec Ken  
C/O TRI POINTE HOMES, INC.  
19540 JAMBOREE ROAD, SUITE 300  
IRVINE, CA 92612

President of Quadrant Homes

## Signatures

Glenn J. Keeler,  
attorney-in-fact      06/04/2015

        Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Adjusted due to rounding, originally reported as \$9.67.

The sales price is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$14.70 to \$14.7675,

(2) inclusive. The reporting person undertakes to provide TRI Pointe Homes, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

(3) Adjusted due to rounding, originally reported as 5,804.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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