

GERSHOWITZ DIANE M

Form 4

April 03, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GERSHOWITZ DIANE M

(Last) (First) (Middle)

THE MARCUS
CORPORATION, 100 E.
WISCONSIN AVE., SUITE 1900

(Street)

MILWAUKEE, WI 53202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)

04/01/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|-------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 04/01/2019 | | S ⁽¹⁾ | | 28,907 | D | \$ 40.1633 ⁽²⁾ | 21,892 | I | By DG-LDJ Holdings, LLC |
| Common Stock | | | | | | | | 207,955 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. L S (|
|---|---|---|---|---|---|--|---|----------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.78 | | | | | 05/28/2009 05/28/2019 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 11.14 | | | | | 05/27/2010 05/27/2020 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.5 | | | | | 05/26/2011 05/26/2021 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.33 | | | | | 05/31/2012 05/31/2022 | Common Stock | 500 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.45 | | | | | 05/30/2013 05/30/2023 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 16.84 | | | | | 05/29/2014 05/29/2024 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 19.65 | | | | | 05/28/2015 05/28/2025 | Common Stock | 1,000 |
| Stock Option | \$ 18.97 | | | | | 12/31/2015 12/31/2025 | Common Stock | 583 |

(Right to
Buy) ⁽³⁾

| | | | | | |
|---|----------------|----------------|----------------|-----------------|-----------|
| Stock Option (Right to Buy) ⁽³⁾ | \$ 31.55 | 12/29/2016 | 12/29/2026 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 27.2 | 12/28/2017 | 12/28/2027 | Common Stock | 1,000 |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 38.51 | 12/27/2018 | 12/27/2028 | Common Stock | 1,000 |
| Class B Common Stock | ⁽⁴⁾ | ⁽⁵⁾ | ⁽⁶⁾ | Common Stock | 17,730 |
| Class B Common Stock | ⁽⁴⁾ | ⁽⁵⁾ | ⁽⁶⁾ | Common Stock | 2,432,148 |
| Class B Common Stock | ⁽⁴⁾ | ⁽⁵⁾ | ⁽⁶⁾ | Common Stock | 131,506 |
| Class B Common Stock | ⁽⁴⁾ | ⁽⁵⁾ | ⁽⁶⁾ | Common Stock | 50,845 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| GERSHOWITZ DIANE M THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202 | X | | | |

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Diane M.
Gershowitz

04/03/2019

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 4, 2019.

The price in Column 4 is a weighted average price. The prices actually received ranged from \$40.15 to \$40.205. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing an average weighted price.

(3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

(4) This security is convertible into common stock on a 1-for-1 basis at no cost.

(5) This security is immediately exercisable.

(6) No expiration date.

(7) As trustee for brother's children.

(8) By the Ben and Celia Marcus 1992 Revocable Trust F/B/O Diane M. Gershowitz.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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