

Andersen Reiter Kara
Form 3/A
February 08, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Andersen Reiter Kara

(Last) (First) (Middle)

1020 KIFER ROAD

(Street)

SUNNYVALE, CA 94086

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/06/2019

3. Issuer Name and Ticker or Trading Symbol
INTUITIVE SURGICAL INC [ISRG]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

Sr VP General Counsel & CCO

5. If Amendment, Date Original
Filed(Month/Day/Year)

02/06/2019

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

3,309

D

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Date Expiration
Exercisable Date

Title Amount or
Number of

Edgar Filing: Andersen Reiter Kara - Form 3/A

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/06/2025	Common Stock	9,000	\$ 170.7567	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/16/2026	Common Stock	1,500	\$ 178.3867	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	08/15/2026	Common Stock	1,500	\$ 230.9967	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/15/2027	Common Stock	1,350	\$ 238.9133	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	08/15/2027	Common Stock	1,350	\$ 328.4567	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/15/2028	Common Stock	875	\$ 418.56	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	08/15/2028	Common Stock	875	\$ 522.77	D	Â
Restricted Stock Units	Â <u>(3)</u>	02/06/2019	Common Stock	750	\$ 0	D	Â
Restricted Stock Units	Â <u>(3)</u>	02/16/2020	Common Stock	1,500	\$ 0	D	Â
Restricted Stock Units	Â <u>(3)</u>	02/15/2021	Common Stock	2,025	\$ 0	D	Â
Restricted Stock Units	Â <u>(3)</u>	02/15/2022	Common Stock	1,750	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Andersen Reiter Kara 1020 KIFER ROAD SUNNYVALE,Â CAÂ 94086	Â	Â	Â Sr VP General Counsel & CCO	Â

Signatures

/s/ Kara Andersen
Reiter

02/08/2019

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: Andersen Reiter Kara - Form 3/A

- (1) Non-statutory stock option granted pursuant to the 2010 Employee Stock Option Plan. The option vests 1/8th six months after the date of grant and 1/48th monthly thereafter.
- (2) Non-statutory stock option granted pursuant to the 2010 Employee Stock Option Plan. The option vests 7/48th one month after the date of grant and 1/48th monthly thereafter.

- Each Restricted Stock Unit, granted pursuant to the 2010 Employee Stock Option Plan, represents a contingent right to receive one share
- (3) of Intuitive Surgical common stock. The grant vests 25% on the first anniversary of the date of grant and annually thereafter, over a four year period of time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.