Murphy Timothy F. Form 4 January 02, 2019

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Murphy Timothy F. Issuer Symbol

GIBRALTAR INDUSTRIES, INC.

(Check all applicable)

[ROCK]

(Middle)

3. Date of Earliest Transaction

Director 10% Owner Other (specify Officer (give title

3556 LAKE SHORE ROAD, P.O.

(First)

(Month/Day/Year) 12/31/2018

below) Senior VP and CFO

**BOX 2028** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BUFFALO, NY 14219-0228

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of (Instr. 3) (Month/Day/Year)

Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year)

Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4)

D

Reported (A) Transaction(s) (Instr. 4)

(Instr. 3 and 4) Code V Amount (D) Price

Common 10,535 D Stock

Discretionary Restricted Stock Unit (February 2016)

3,000

Executive

Retirement 17,000 D

**RSU** 

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Performance Stock Unit (April 2017)	1,005	D
Performance Stock Unit (February 2017)	1,102	D
Performance Stock Units (March 2018)	11,694	D
Restricted Stock Unit (02/01/2017)	444	D
Restricted Stock Unit (LTIP 01/02/2015)	322	D
Restricted Stock Unit (LTIP 02/01/2016)	583	D
Restricted Stock Unit (LTIP 04/03/2017)	2,006	D
Restricted Stock Unit (LTIP 3/1/2018)	5,262	D
Special Performance Units (April 2017)	5,000	D
Special Restricted Stock Units (April 2017)	5,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and A Underlying S (Instr. 3 and	Securiti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Restricted Stock Unit (MSPP Match Post-2012)	<u>(2)</u>	12/31/2018		A	359.79	(2)	(2)	Common Stock	359
Restricted Stock Unit (MSPP Post-2012)	<u>(4)</u>	01/31/2019		A	719.57	<u>(4)</u>	<u>(4)</u>	Common Stock	719
Option (April 2017)	\$ 39.55					04/03/2020	04/03/2027	Common Stock	5,0
Performance Units (2016)	<u>(5)</u>					(5)	(5)	Common Stock	11,
Restricted Stock Unit (MSPP Match)	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	3,20
Restricted Stock Unit (MSPP)	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	8,05

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Troporting of the France of Transcoor	Director	10% Owner	Officer	Other		
Murphy Timothy F. 3556 LAKE SHORE ROAD P.O. BOX 2028 BUFFALO, NY 14219-0228			Senior VP and CFO			

# **Signatures**

/s/ Paul J. Schulz, Attorney in Fact for Timothy F.

Murphy

01/02/2019

\*\*Signature of Reporting Person Date

Reporting Owners 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents matching restricted stock units allocated to the Reporting Person after 2012 with respect to the Reporting Person's deferral of a portion of his base salary pursuant to the Company's Management Stock Purchase Plan.
  - Restricted stock units are forfeited if Reporting Person's service as an officer of the Company is terminated prior to age sixty (60). If service as an officer continues through age sixty (60), restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person,
- beginning six (6) months following termination of service. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.
- (3) Represents restricted stock units allocated to the Reporting Person after 2012 with respect to the Reporting Person's deferral of a portion of his base salary pursuant to the Company's Management Stock Purchase Plan.
  - Restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of
- (4) service as an officer of the Company. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.
  - Performance Units vest and are paid at the end of the three (3) consecutive calendar year periods ending December 31, 2018 or, if earlier, upon death, disability or retirement. Performance Units are forfeited if employment is terminated before December 31, 2018 for reasons
- (5) other than death, disability or retirement or by the Company without cause. Performance Units are payable solely in cash in an amount equal to the ninety (90) day trailing average price of one (1) share of the Company 's common stock determined as of December 31, 2018, or if applicable, as of the Reporting Person's death, disability or retirement.
- Restricted stock units are forfeited if employment is terminated prior to age sixty (60). If employment continues through age sixty (60), restricted stock units are payable solely in cash in five (5) consecutive substantially equal annual installments beginning six (6) months following termination of employment. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date the Reporting Person's employment is terminated.
- Restricted stock units are payable solely in cash in five (5) consecutive, substantially equal annual installments beginning six (6) months (7) following termination of employment. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date the Reporting Person's employment is terminated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.