Dermira, Inc. Form 4 July 06, 2017

### FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BAUER EUGENE A |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol                          | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|---------|----------|---|---|--|--|--|
|  |         |          | Dermira, Inc. [DERM]  | (Check all applicable)  |  |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction   |   |  |  |  |
|  |         |          | (Month/Day/Year)  | Director 10% Owner  |  |  |  |
| C/O DERMIRA, INC., 275                                   |         |          | 07/05/2017  | Officer (give title Other (specify  |  |  |  |
| MIDDLEFIELD ROAD, SUITE 150                              |         |          |   | below) below) Chief Medical Officer   |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Che   |  |  |  |
|  |         |          | Filed(Month/Day/Year)   | Applicable Line)  |  |  |  |
| MENLO PARK, CA 94025                                     |         |          |   | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |  |
| (City)   | (State) | (Zip)    | Table I. Non Derivative Securities Acquired Disposed of an Repolicially Own |   |  |  |  |

|   | (City)                               | (State)                                 | Table Table   | e I - Non-D                            | erivative                      | Secur | rities Acqu         | ired, Disposed of,   | or Beneficiall   | y Owned   |
|---|--------------------------------------|---|---|--|--------------------------------|-------|---------------------|--|--|---|
| į | 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securin(A) or Di (Instr. 3, | spose | d of (D)            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   | Common<br>Stock                      | 07/05/2017                              |   | S(1)                                   | 2,500                          | D     | \$<br>30.003<br>(2) | 34,478   | I  | By the<br>Bauer<br>Family<br>1995<br>Trust (3)                    |
|   | Common<br>Stock                      |   |   |  |                                |       |                     | 4,215  | D (4)  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

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## displays a currently valid OMB control

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc    | isable and | 7. Titl | e and          | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|------------|---------|----------------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration Da    | ate        | Amou    | nt of          | Derivative  | J |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)      | Under   | lying          | Security    | 5 |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |            | Secur   | ities          | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |            | Securities |                  |            | (Instr. | 3 and 4)       |             | ( |
|             | Security    |                     |                    |            | Acquired   |                  |            |         |                |             | J |
|             |             |                     |                    |            | (A) or     |                  |            |         |                |             | J |
|             |             |                     |                    |            | Disposed   |                  |            |         |                |             | 7 |
|             |             |                     |                    |            | of (D)     |                  |            |         |                |             | ( |
|             |             |                     |                    |            | (Instr. 3, |                  |            |         |                |             |   |
|             |             |                     |                    |            | 4, and 5)  |                  |            |         |                |             |   |
|             |             |                     |                    |            |            |                  |            |         | A 4            |             |   |
|             |             |                     |                    |            |            |                  |            |         | Amount         |             |   |
|             |             |                     |                    |            |            | Date             | Expiration | T:41-   | or<br>Namelana |             |   |
|             |             |                     |                    |            |            | Exercisable Date | Date       | Title   | Number         |             |   |
|             |             |                     |                    | C 1 W      | (A) (D)    |                  |            |         | of             |             |   |
|             |             |                     |                    | Code V     | (A) (D)    |                  |            |         | Shares         |             |   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BAUER EUGENE A C/O DERMIRA, INC. 275 MIDDLEFIELD ROAD, SUITE 150 MENLO PARK, CA 94025

Chief Medical Officer

## **Signatures**

/s/ Christine Ring as attorney-in-fact

07/06/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These trades were made pursuant to a Rule 10b5-1 trading plan.
- This transaction was executed in multiple trades at prices ranging from \$30.00 to \$30.035. The price reported above reflects the weighted (2) average price. The reporting person hereby undertakes to provide upon request the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) The Reporting Person is a Co-Trustee.
- (4) These shares include prior ESPP purchases.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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