

STEINBERG JOSEPH S

Form 4

April 25, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEINBERG JOSEPH S

(Last) (First) (Middle)

**C/O CRIMSON WINE GROUP
LTD., 2700 NAPA VALLEY
CORPORATE DRIVE**

(Street)

NAPA, CA 94558

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Crimson Wine Group, Ltd [CWGL]

3. Date of Earliest Transaction
(Month/Day/Year)

04/23/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price \$
Common Stock	04/23/2018		P ⁽¹⁾		1,350	A	9.19 (2)
Common Stock	04/24/2018		P ⁽¹⁾		350	A	\$ 9.09
Common Stock					107,862	I	
Common Stock					107,860	I	

By Paul S.
Steinberg
2004 Trust

By Rachel
C.

Edgar Filing: STEINBERG JOSEPH S - Form 4

			Steinberg 2004 Trust
Common Stock	107,860	I	By Sarah A. Steinberg 2004 Trust
Common Stock	57,403	I	By JSS 2010 Family Trust
Common Stock	14,400	I	By Spouse
Common Stock	720	I	By Daughter
Common Stock	200,000	I	By JSS Holding Corp - 1
Common Stock	70,000	I	By JSS Holding Corp - 2
Common Stock	200,000	I	By JSS Holding Corp - 3
Common Stock	200,000	I	By JSS Holding Corp - 4
Common Stock	200,000	I	By JSS Holding Corp - 5
Common Stock	200,000	I	By JSS Holding Corp - 6
Common Stock	200,000	I	By JSS Holding Corp - 7
Common Stock	114,806	I	By Steinberg Holding Inc - D
Common Stock	114,806	I	By Steinberg Holding Inc - E
	114,806	I	

Common
StockBy
Steinberg
Holding
Inc - FCommon
Stock

114,806 I

By
Steinberg
Holding
Inc - G

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

STEINBERG JOSEPH S
C/O CRIMSON WINE GROUP LTD.
2700 NAPA VALLEY CORPORATE DRIVE
NAPA, CA 94558

X

Signatures

/s/ Shannon McLaren as
Attorney-in-Fact

04/25/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$9.18 to \$9.20, inclusive. The reporting person undertakes to provide to Crimson Wine Group, Ltd., any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (2)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.