#### CARROLL CHRISTOPHER F

Form 4 March 04, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* CARROLL CHRISTOPHER F

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

INTERPUBLIC GROUP OF COMPANIES, INC. [IPG]

3. Date of Earliest Transaction (Month/Day/Year)

02/28/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify

below)

SVP, Cont & CAO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10022

IPG, 909 THIRD AVE

(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D Code (Instr. 3, 4 and 5) (Instr. 8)			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	02/28/2019		A	12,130 (1)	A	\$ 22.9	44,654 (2)	D			
Common Stock	02/28/2019		F	6,744 (3)	D	\$ 22.9	37,910 <u>(2)</u>	D			
Common Stock	02/28/2019		A	54,596 (4)	A	\$ 22.9	92,506 (2)	D			
Common Stock	02/28/2019		A	19,655 (5)	A	\$ 22.9	112,161 (2)	D			
Common Stock	03/01/2019		S	18,000 (6)	D	\$ 22.95 (7)	94,161 (2)	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	4	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ction	Number	Expiration Da	ate	Amou	ınt of	Derivative	
	Security	or Exercise		any	Code	(	of	(Month/Day/	Year)	Under	rlying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) 1	Derivative	•		Secur	ities	(Instr. 5)	
		Derivative				Securities			(Instr. 3 and 4)				
		Security				1	Acquired						
		_				(	(A) or						
						]	Disposed						
						(	of (D)						
						(	(Instr. 3,						
						4	4, and 5)						
											Amount		
								Date	Expiration	m: d	or		
								Exercisable Date	Title Number of				
				G 1		(A) (B)							
					Code	V (	(A) (D)				Shares		

## **Reporting Owners**

10% Owner Officer Other Director

CARROLL CHRISTOPHER F **IPG** 

SVP, Cont & CAO 909 THIRD AVE

NEW YORK, NY 10022

#### **Signatures**

/s/Robert Dobson POA for Chris 03/04/2019 Carroll

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance based shares, awarded to Mr. Carroll on February 28, 2019 after achieving specific performance goals and vesting over the **(1)** 2016-2019 period.
- Includes restricted shares which are subject to forfeiture under certain circumstances.
- (3) This is not an open market sale, rather it represents a surrender of shares to the company to satisfy withholding tax obligations.
- Restricted shares that will vest on February 28, 2022.
- Restricted shares that will vest on February 28, 2024.

Reporting Owners 2

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- (6) Open market sale.
- Reflects average price of multiple sales on March 1, 2019 ranging from \$22.82-\$23.12. Mr. Carroll undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number shares sold at each separate price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.