# Edgar Filing: Neonode, Inc - Form SC 13G/A

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Neonode, Inc
Form SC 13G/A
May 07, 2015
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THE UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
SCHEDULE 13G
Under the Securities Exchange Act of 1934
(Amendment No. 1 ) *
Neonode Inc.
(Name of Issuer)
Par Value, .001
(Title of Class of Securities)
64051M402
(CUSIP Number)
April 30, 2015
(Date of Event Which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this
Schedule 13G is filed:
[X] Rule 13d-1(b)
[ ] Rule 13d-1(c)
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\*The remainder of this cover page shall be filled out for a reporting person?s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(3) SEC Use Only

[ ] Rule 13d-1(d)

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- (4) Citizenship or Place of Organization: Delaware, United States Number of Shares Beneficially Owned by Each Reporting Person With
- (5) Sole Voting Power: 1,999,000\*\*
- (6) Shared Voting Power: 0
- (7) Sole Dispositive Power: 1,999,000\*\*
- (8) Shared Dispositive Power:
- (9) Aggregate Amount Beneficially Owned by Each Reporting Person: 1,999,000\*\*
- (10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions):
- (11) Percent of Class Represented by Amount in Row (9): 4.9%\*\*
- (12) Type of Reporting Person (See Instructions): IA

  \*\*AWM Investment Company, Inc., a Delaware corporation (AWM), is the
  investment adviser to Special Situations Technology Fund, L.P. (TECH) and
  Special Situations Technology Fund II, L.P. (TECH II). (TECH and TECH II will
  hereafter be referred to as the Funds). As the investment adviser to the
  Funds, AWM holds sole voting and investment power over 270,076 shares of
  common stock of the Issuer (the Shares) held by TECH and 1,728,924 Shares
  held by TECH II. See Items 2 and 4 of this Schedule for additional
  information.
- Item 1(a). Name Of Issuer: Neonode Inc.
- Item 1(b). Address of Issuer?s Principal Executive Offices: 2350 Mission College Blvd., Suite 190, Santa Clara, CA 95054
- Item 2(a). Name of Person Filing:

The person filing this report is AWM Investment Company, Inc., a Delaware corporation (AWM), which is the investment adviser to Special Situations Technology Fund, L.P., a Delaware limited partnership (TECH), and Special Situations Technology Fund II, L.P., a Delaware limited partnership (TECH II), (TECH and TECH II, will hereafter be referred to as the Funds). The principal business of each Fund is to invest in equity and equity-related securities and other securities of any kind or nature.

Austin W. Marxe (Marxe), David M. Greenhouse (Greenhouse) and Adam C. Stettner (Stettner) are members of: SST Advisers, L.L.C., a Delaware limited liability company (SSTA), the general partner of TECH and TECH II. Marxe, Greenhouse and Stettner are also controlling principals of AWM.

Item 2(b). Address of Principal Business Office or, if None, Residence: The principal business address for AWM is c/o Special Situations Funds, 527 Madison Avenue, Suite 2600, New York, NY 10022.

Item 2(c). Citizenship:

AWM is a Delaware corporation.

Item 2(d). Title of Class of Securities: Common Stock, Par Value .001

Item 2(e). CUSIP No.: 64051M402

Item 3. If This Statement Is Filed Pursuant to ??240.13d-1(b) or 240.13d-

2(b) or (c), check whether the Person Filing is a: Not Applicable.

### Item 4. Ownership

- (a) Amount Beneficially Owned: 1,999,000\*\*
- (b) Percent of Class: 4.9%\*\*
- (c) Number of Shares as to which the person has:
- (i) sole power to vote or to direct the vote 1,999,000\*\*
- $\hspace{1.5cm} \text{(ii)} \hspace{0.5cm} \text{shared power to vote or to direct the vote } \\ 0$
- (iii) sole power to dispose or to direct the disposition of 1,999,000\*\*
- (iv) shared power to dispose or to direct the disposition of  $\boldsymbol{\Omega}$

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following. X

Item 6. Ownership of More Than Five Percent on Behalf of Another Person
 Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not Applicable.

Item 8. Identification and Classification of Members of the Group  $\label{eq:classification} \text{Not Applicable.}$ 

Item 9. Notice of Dissolution of Group

<sup>\*\*</sup> AWM is the investment adviser to each of the Funds. As the investment adviser to the Funds, AWM holds sole voting and investment power over 270,076 shares of common stock of the Issuer (the Shares) held by TECH and 1,728,924 Shares held by TECH II. Marxe, Greenhouse and Stettner are members of: SSTA, the general partner of TECH and TECH II. Marxe, Greenhouse and Stettner are also controlling principals of AWM.

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Not Applicable.

#### Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

#### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: May 6, 2015

AWM INVESTMENT COMPANY, INC.

By:

Name: Adam Stettner Title: Vice President

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)